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## **THE TYRANNY OF THE SINGLE MINDED: GUNS, ENVIRONMENT, AND ABORTION**

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**PUBLIC ECONOMICS**

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# THE TYRANNY OF THE SINGLE MINDED: GUNS, ENVIRONMENT, AND ABORTION

## Abstract

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JEL Classification: N/A

Keywords: Electoral incentives, Environment, Gun control, Reproductive Rights

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# The Tyranny of the Single Minded: Guns, Environment, and Abortion<sup>\*</sup>

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## Abstract

We study how electoral incentives affect policy choices on secondary issues, which only minorities of voters care intensely about. We develop a model in which office and policy motivated politicians vote in favor or against regulations on these issues. We derive conditions under which politicians flip flop, voting according to their policy preferences at the beginning of their terms, but in line with the preferences of single-issue minorities as they approach re-election. To assess the evidence, we study U.S. senators' votes on gun control, environment, and reproductive rights. In line with the model's predictions, we find that i) election proximity has a pro-gun effect on Democratic senators and a pro-environment effect on Republican senators; these effects arise for senators who ii) are not retiring, iii) do not hold safe seats, and iv) represent states where the single-issue minority is of intermediate size. Also in line with our theory, election proximity does not affect votes on reproductive rights, due to the presence of single-issue minorities on both sides.

*JEL classifications:* D72, I18, K38, Q00.

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# 1 Introduction

Passion often runs high in politics. Within an electorate, some individuals feel so passionate about a particular issue that they are willing to cast their votes based on a candidate's stance on that issue alone. For instance, some voters may be concerned mostly with politicians' stance on reproductive rights, others with their position on gun control, environmental regulations, or LGBT rights.

Single-issue voters often seem to have disproportionate power relative to their size. A striking example is provided by gun rights supporters in the United States. In the wake of the murder of twenty children and six staff at Sandy Hook Elementary School in December 2012, opinion polls showed that 90% of Americans were in favor of an expansion of background checks on gun purchases. However, the 10% who opposed these gun controls got its way in April 2013, when the Senate failed to pass the Manchin-Toomey amendment to strengthen background checks. Even after the more recent mass-shootings in Las Vegas in October 2017 (which left 58 people dead and hundreds wounded) and at a high school in Florida in February 2018 (in which 17 people were killed and more than two dozen others were wounded), new gun controls have little chance of success in Congress, notwithstanding support from the vast majority of Americans.

In this paper, we examine how single-minded minorities can shape politicians' decisions on three policy issues: gun control, environment, and reproductive rights. There are three main reasons for focusing on these issues. First, they are prototypical secondary issues, which only minorities of voters care intensely about.<sup>1</sup> Based on Gallup surveys carried out between February and December 2017, less than 0.5% of respondents ranked abortion as the most important problem facing the country; the corresponding shares for gun control and environment are less than 2% and 3%, respectively.<sup>2</sup>

Second, there are key differences between these issues. Two of them are dominated by a strong minority on one side: in the case of gun control, gun-rights supporters belonging to organizations like the National Rifle Association (NRA) or Gun Owners of

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<sup>1</sup>In the literature, List and Sturm (2006) mention environmental policy and gun control as “typical examples of such secondary policy issues”, while Besley and Coate (2008) mention gun control or abortion as examples of policy issues that are salient to minorities of voters.

<sup>2</sup>By comparison, more than 20% considered Dissatisfaction with government/Poor leadership as the most important problem; the shares for Health and Immigration were around 10% and 8%. Earlier Gallup surveys confirm the secondary nature of our three policy issues in earlier decades. Based on surveys carried out in 1977, 1987, 1997 and 2007, the share of respondents ranking environment as the most important problem was always less than 3%, while the corresponding shares for gun control and abortion were never above 1%. We thank Jerry Hansen for providing us with these data.

America (GOA) dominate an apathetic majority who favors tighter regulations;<sup>3</sup> in the case of the environment, there is a minority of “green” voters belonging to organizations like Greenpeace or the National Wildlife Federation, but no single-issue “brown” minority.<sup>4</sup> By contrast, in the case of reproductive rights, there are two opposite single-issue minorities of similar size and intensity: some individuals are strongly pro-choice and belong to organizations such as the National Abortion and Reproductive Rights Action League (NARAL); others are strongly pro-life and belong to organizations such as the National Right to Life (NRLC).

Third, as discussed below, our identification strategy relies on variation in voting behavior across and within U.S. senators. These legislators often vote on regulations related to gun control, environment, and reproductive rights. For other secondary policy issues, legislation is mostly at the state level or through the courts.<sup>5</sup> Moreover, for the three issues we study, we can rely on lists of relevant congressional votes provided by corresponding single-issue organizations (Gun Owners of America, the League of Conservation Voters, and National Right to Life Committee).

We focus on one channel through which single-issue voters can shape politicians’ choices: the intensity of their policy preferences. The broad idea is that politicians only respond to the interests of voters who make them accountable on a policy issue. Going back to the example of gun regulations, after the Senate voted against the Manchin-Toomey amendment on background checks, President Obama asked: “The American people are trying to figure out: How can something have 90% support and yet not happen?”. His answer was that the 90% who support gun controls lack the passion and focus of the 10% who oppose them: “Ultimately, you outnumber those who argued the other way. But they make sure to stay focused on this one issue during election time.”<sup>6</sup>

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<sup>3</sup>As pointed out by Goss (2006), there is a “missing movement” for gun control in America: in terms of number of members and intensity of their preferences, gun-control groups like the Brady Campaign to Prevent Gun Violence pale in comparison to gun-rights groups.

<sup>4</sup>Voters often dislike environmental regulations. For example, a Gallup poll in 2017 asked whether environmental protection should be given priority at the risk of curbing economic growth, or if economic growth should be given priority even if the environment suffers a bit; 35% of respondents stated that economic growth should be given priority. However, voters who are against environmental regulations tend to oppose taxation and regulation more generally, rather than being focused on the environment.

<sup>5</sup>In the case of Lesbian, gay, bisexual, and transgender (LGBT) rights, for example, Massachusetts was the first U.S. state to legalize same-sex marriage in 2004. It was followed by 36 other states, until same-sex marriage was legalized at the federal level through a Supreme Court decision in June 2015.

<sup>6</sup>Single-mindedness is key to understand the power of the National Rifle Associations (NRA). “The NRA is considered by many the most powerful lobbying group in the country, despite relatively modest financial resources and just 4 million members. (. . .) The NRA focuses almost exclusively on gun control, which enables its leaders to doggedly pursue their legislative ends. Perhaps more important, many NRA members are as single-minded as the organization itself. Polls often show that more Americans

An alternative channel through which vocal minorities could affect policy choices is money. Politicians may be willing to support policies favored by special interests in exchange for their financial support. However, relatively little money is actually paid to politicians on secondary policy issues such as gun control, environment, and reproductive rights. The amount of lobbying expenditures and campaign contributions related to these issues pales in comparison to what is spent on other policy issues, such as Finance/Insurance, Health, or Construction.<sup>7</sup>

We develop a simple theoretical model to study how single-issue minorities affect politicians' choices on secondary issues. Politicians serve two-period terms, at the end of which they face re-election. During their mandates, they are called to vote in favor or against regulations on gun control, environment, and reproductive rights. They care about remaining in office, but also have their own policy preferences, which may reflect their party line on these issues. The three policy issues are only salient to minorities of pro-issue or anti-issue voters.

We derive conditions under which politicians will change their voting behavior during their terms in office. In our model, politicians who face a tradeoff between policy preferences and re-election motives may “flip flop”, voting according to their preferences at the beginning of their terms and in line with the preferences of a single-issue minority when they are close to facing re-election. Election proximity should instead have no impact on the voting behavior of politicians who share the same preferences as the single-issue minority.

Our model predicts heterogeneous effects across secondary issues. This is because the relative strength of single-issue minorities, which depends on their size and preference intensity, varies across issues. As argued above, in the case of gun regulations, the pro-gun minority is stronger than the anti-gun minority. In the case of environ-

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favor tightening gun control laws than relaxing them, but gun rights advocates are much more likely to be single-issue voters than those on the other side of the question. As a result, the NRA can reliably deliver votes” (see “Why is the NRA so powerful? How the gun lobby leverages modest resources into outsized influence”, *Slate*, June 29, 2012).

<sup>7</sup>The data on lobbying expenditures and campaigns contributions come from the Center for Responsive Politics. As shown in Figures A-1-A-3 in the online Appendix, spending on the three secondary issues of interest represents a tiny fraction of the spending on other policy issues, both in terms of lobbying expenditures and campaign contributions. For example, expenditures related to reproductive rights are only 0.5% of those on Finance/Insurance and Health, and less than 5% those on Construction; expenditures on gun regulations and environmental regulations are respectively 2% and 3.5% compared to expenditures on Finance/Insurance or Health, and 19% and 31% compared to expenditures on Construction. Looking at campaign contributions to U.S. congressmen, spending on the three single-issues represents around 1.5% of the spending on Finance/Insurance, 3% of spending on Health, and 7% of spending on Construction.

mental regulations, the pro-environment minority is stronger than its anti-environment counterpart. Finally, in the case of regulations on reproductive rights, there are two equally strong minorities of single-issue voters, one pro-life and one pro-choice. Election proximity should thus have a pro-gun (pro-environment) effect on the voting behavior of politicians who are in favor of (against) gun regulations (environmental regulations); it should instead have no impact on politicians' voting behavior on reproductive rights. The model also predicts that election proximity should have no effect on politicians who are retiring or hold safe seats, as they do not face a tradeoff between policy preferences and re-election motives. Moreover, politicians should only flip flop when the single-issue minority is neither too small nor too large.

To assess the evidence, we examine the determinants of U.S. senators' votes on regulations on gun rights, the environment, and reproductive rights. The staggered structure of the U.S. Senate — in which senators serve six-year terms and one third of them is up for re-election every two years — provides a quasi-experimental setting to verify whether election proximity affects the decisions of incumbent politicians. For any given vote, we can compare the behavior of senators who belong to three different “generations,” i.e. face elections at different times.<sup>8</sup> We can also study whether election proximity affects the stance of individual senators over time, exploiting the fact that senators cast multiple votes on the same issue during their terms in office.

We have assembled a novel dataset that allows us to link senators' voting behavior on the three policy issues of interest to a wealth of characteristics of the legislators and their constituencies. To identify the relevant votes to be included in the analysis, we rely on lists of votes assembled by single-issue organizations.

The empirical results provide strong support for the model's predictions. First, we show that senators flip flop on gun control and environment — the two issues dominated by a strong single-issue minority: election proximity increases the likelihood that Democratic senators vote pro-gun and that Republican senators vote pro-environment. In the case of reproductive rights, election proximity has not effect on the behavior of senators: Democratic senators vote pro-choice, while Republican senators vote pro-life,

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<sup>8</sup>This strategy builds on a vast literature that examines the impact of election proximity on legislative behavior (e.g. Amacher and Boyes, 1978; Thomas, 1985; Glazer and Robbins, 1985; Levitt, 1996; Bernhard and Sala, 2006). Rather than focusing on senators' choices on specific policy issues, most of these papers analyze how election proximity affects senators' ideological positions, captured by summary indexes of their voting record on a broad set of issues (e.g. ADA scores, D-Nominate and W-Nominate scores). Other studies compare senators' voting scores to various measures of their constituencies' preferences and examine how election proximity affects the gap between the two.



in line with their own preferences and with the interests of the single-issue minority on the same side. We next show that these effects do not arise for senators who are retiring or hold safe seats, and are thus not concerned about losing office. Finally, election proximity has a pro-gun (pro-environment) effect on Democratic (Republican) senators only when the pro-gun (pro-environment) group in their state is of intermediate size.

Our findings contribute to the debate about the shortcomings of voting as a way to keep politicians accountable. It has been argued that, in representative democracies, voters are limited in their ability to make politicians accountable for their policy choices. This is because citizens have only one vote to punish or reward politicians on a bundle of issues (Besley and Coate, 2008). Electoral accountability has thus no bite, especially for policy issues that are of secondary importance to most voters. Contrary to this argument, List and Sturm (2006) emphasize the role of electoral incentives in shaping U.S. governors' choices on state-level environmental regulations, which are of secondary importance to most voters. They argue that electoral incentives still matter in the presence of single-issue voters, who base their voting decisions solely on the policies related to their specific issue of interest. Our paper shows that electoral accountability driven by single-issue voters is a widespread phenomenon, which spans other policy issues and other levels of policymaking. Our theoretical model and empirical findings show that electoral incentives are a key determinant of national choices on gun control, environment, and reproductive rights. Rather than responding to the median voter, politicians are accountable to different single-issue minorities of voters on different policy issues. Because they see the policy space as unidimensional, these minorities keep politicians in check and shape their policy choices.

The rest of the paper is organized as follows. Section 2 reviews the related literature. In Section 3, we present our theoretical model. In Section 4, we describe the data and variables used in our empirical analysis. Section 5 presents our empirical results. The last section concludes.

## 2 Related Literature

Our paper builds on the political agency literature, which studies the determinants of a government's responsiveness to its citizens. Starting from the seminal contribution by Barro (1973), this literature includes the influential studies by Besley and Case (1995) and Besley and Burgess (2002), among many others.

Within this literature, the above-mentioned paper by List and Sturm (2006) is the closest to ours. They develop a theoretical model in which politicians decide on the level of public spending and environmental regulation. Voters are uncertain about the preferences of politicians on the secondary policy issue, so incumbents engage in reputation building. They show that re-election motives can lead politicians to manipulate environmental policy to attract single-issue voters. To test their model’s predictions, they use data on environmental expenditures across U.S. states, exploiting the fact that some governors face binding term limits.<sup>9</sup> Our paper goes beyond List and Sturm (2006) by highlighting that the influence of single-issue voters is not limited to environmental policy at the state level. We show that single-issue voters shape the behavior of politicians at the federal level (U.S. senators) and on several issues (environment, but also gun control and reproductive rights). In addition, our theoretical model and identification strategy differ from List and Sturm (2006)’s. In our model, there is no uncertainty about the preferences of politicians and thus no scope for reputation building.<sup>10</sup> In terms of identification strategy, the main challenge with exploiting the existence of term limits is the possibility of selection effects (Ferraz and Finan, 2011): politicians who serve a second term may differ along some unobserved characteristics from those who do not get re-elected (e.g. political ability, campaigning effort, contributions received by lobby groups), and these characteristics may also affect their policy choices. Our identification strategy does not suffer from this concern: to generate variation in electoral incentives, we exploit the staggered structure of the U.S. Senate, which allows to examine how proximity to elections affects the choices of individual politicians during their terms in office.

The influence of single-issue voters on politicians’ choices has also been examined by Bombardini and Trebbi (2011) and Berry and Gersen (2011). Bombardini and Trebbi (2011) show how special interest groups can shape policy-making by donating money and pledging the votes of their members. Berry and Gersen (2011) emphasize that single-issue voters are more likely to turn out in elections and exploit variation in the timing

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<sup>9</sup>Other studies exploiting the existence of gubernatorial term limits in some U.S. states include Besley and Case (1995) and Alt *et al.* (2011). Ferraz and Finan (2011) study the impact of term limits on corruption practices in Brazilian municipalities. Conconi *et al.* (2014b) exploit variation in executive term limits to study the impact of electoral accountability on inter-state conflicts.

<sup>10</sup>In light of our empirical findings, this difference may not be innocuous. The model by List and Sturm (2006) can explain why politicians may override their private preferences to retain office, e.g. why Democratic (Republican) senators seeking re-election in a state with a large pro-gun (pro-environment) group may oppose gun regulations (support environmental regulations). However, as it stands, it cannot provide a rationale for the fact that senators flip flop on secondary issues *during* their terms in office.

of elections (on or off-cycle) to study the effect of turnout on implemented policies.

Our empirical findings are reminiscent of the predictions of theoretical models of political business cycles. These emphasize the importance of electoral calendars when politicians are office motivated: close to elections, incumbent politicians manipulate fiscal and monetary policies to signal their competence (Rogoff and Sibert, 1988; Rogoff, 1990). Our paper shows that proximity to election can lead office-motivated politicians to support the interests of vocal minorities on secondary policy issues.

A few studies emphasize flip-flopping by candidates between the primary and the general election (e.g. Hummel (2010) and Agranov (2016) on the theory side, and Burden (2001) on the empirical side). These studies show that it may be optimal for candidates to run on more extreme platforms in the primary than in the general election, a behavior called the “post-primary moderation” by Agranov (2016). Hummel (2010) shows that this is the case even if voters associate flip-flopping with undesirable personal characteristics of the candidates. Agranov (2016) explores flip-flopping in a signal-jamming model where candidates can keep voters uncertain about their type. Our approach differs from these studies in at least two dimensions. First, we focus on the behavior of incumbent politicians (during their terms in office) rather than candidates (during their electoral campaign). In other words, we focus on flip-flopping in terms of actions, not promises. Second, in our model, flip-flopping is driven by a tension between politicians’ policy preferences (which are constant over time) and their re-election motives (which become more important at the end of their terms), rather than by changes in the composition of the electorate (between primaries and general elections).

Our paper is also related to the literature examining the determinants of the voting behavior of U.S. congressmen. The pioneering contribution by Peltzman (1985) studies senators’ voting patterns on federal tax and spending. Recent contributions include Mian *et al.* (2010), who examines legislators’ votes on two bills introduced in the aftermath of the recent financial crisis, and Conconi *et al.* (2014a), who study how term length and election proximity affect politicians’ support for trade liberalization.

Finally, our paper contributes to the literatures on the political economy of the three issues we consider. Starting from gun control, several papers focus on the effectiveness of gun control policies on crime, often reaching conflicting conclusions (see. e.g. Lott and Mustard 1997 and Lott 1998 vs. Duggan 2001 and Duggan *et al.* 2011). Another strand of this literature examines gun trafficking in the United States (e.g. Webster *et al.*, 2009; Knight, 2013) or internationally (DellaVigna and La Ferrara, 2010; Dube *et*

*al.*, 2013). Few studies have examined U.S. legislators’ voting behavior on gun control, focusing on specific bills and on the role of lobbies’ contributions and constituencies’ characteristics (e.g. Langbein and Lotwis, 1990; Langbein, 1993; Kahane, 1999; Lipford, 2000). Concerning the political economy of environmental policy, several studies examine the role of lobby groups (e.g. Aidt, 1998; Conconi, 2003). Others focus on the role of ideology (Nelson, 2002), race (Mohai and Kreshner, 2002) and gender (Fredriksson and Wang, 2011). Herrnstadt and Muehlegger (2014) show that U.S. congressmen’s votes on environmental regulations are affected by weather conditions in their constituencies. In the literature on the political economy of reproductive rights, Tatalovitch and Schier (1993) study abortion bills in the House of Representatives, finding that the strongest predictors are ideology and religion. Swers (1998) examines how the gender of legislators affect their voting behavior on bills related to women’s issue. Washington (2008) shows that parenting daughters increases legislators’ propensity to vote liberally, particularly on reproductive rights issues. Ours is the first paper to consider a large set of votes on the three policy issues and to examine the role of electoral incentives.

### 3 Theoretical Framework

#### 3.1 Setup

In this section, we develop a simple model of politicians’ choices to help structure our empirical analysis. We build on standard probabilistic voting models (e.g. Enelow and Hinich, 1982; Lindbeck and Weibull, 1987; Dixit and Londregan, 1995; Grossman and Helpman, 1996, Persson and Tabellini, 2001, and Stromberg, 2004).

We focus on the decisions of an incumbent, who serves a mandate lasting two periods, with elections taking place at the end of the second period. In each period, the incumbent is called to vote on three policy issues: gun control regulations, environmental regulations, and regulations on reproductive rights. As discussed in the introduction, a key feature of these policy issues is their “secondary” nature, i.e. the fact that the majority of the electorate does not care intensely about them. To reflect our empirical analysis, we will consider the three issues separately (i.e. in each period, the incumbent votes on one piece of legislation related to each policy issue).

The incumbent can vote in favor (1) or against (0) a proposed law (e.g. supporting or opposing background checks on sales at gun shows, limits on carbon dioxide emissions for coal plants, or an extension of the gestation age limit for abortions). We denote with

$s_t$  her vote on the bill in period  $t$ , and with  $s$  the vector of choices for all periods.

Voters care about the incumbent’s choices.<sup>11</sup> Their utility in period  $t$  is

$$W_j^t(s) = -\alpha_j(|s_j - s_t|), \tag{1}$$

where  $s_j$  is the bliss point of group  $j$ ’s voters. The parameter  $\alpha_j$  captures the importance of the policy issue for  $j$  voters relative to a “primary” policy issue, which we do not explicitly include in this version of the model.<sup>12</sup>

For each policy issue, we suppose that there are three groups of voters:  $j \in \{a, p, M\}$ . The groups differ in size, with  $M$  representing the majority group and  $a$  and  $p$  representing the anti-issue and the pro-issue minorities. Denoting the size of group  $j$  by  $n_j$ , we assume: (i)  $n_M > \max\{n_a, n_p\}$ , and (ii)  $\sum_j n_j = 1$ . The two minorities differ in the direction of their policy preferences, with  $s_a = 0$ ,  $s_p = 1$ . We do not take a stance on the direction of the preferences of the majority: depending on the case under consideration,  $s_M$  might be 0 or 1.

Voters also differ in the intensity of their policy preferences, with the minorities caring more about the issue than the majority of the electorate ( $\alpha_M < 1 < \min\{\alpha_p, \alpha_a\}$ ). Given the secondary nature of the policy issues, it is natural to assume that  $\alpha_M$ , the intensity of majority voters preferences, is orders of magnitude smaller than  $\alpha_a$  and  $\alpha_p$ , the intensity of minority voters’ preferences. For the sake of expositional clarity, we will work under the assumption that  $\alpha_M = 0$ .

Besides the incumbent’s vote on these laws, voters care about other characteristics of the politician. Total utility of voter  $i$  in group  $j$  under the incumbent politician is

$$W_j = \sum_t W_j^t(s) + \sigma_{ij} + \mu, \tag{2}$$

with  $\sigma_{ij} \sim U[-\frac{1}{2\phi_j}, \frac{1}{2\phi_j}]$  and  $\mu \sim U[-\frac{1}{2\gamma}, \frac{1}{2\gamma}]$ . The parameter  $\sigma_{ij}$  represents an individual’s ideological preference in favor of the incumbent, while  $\mu$  represents her general

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<sup>11</sup>There is a large empirical literature highlighting that congress members’ voting records affect their re-election probabilities (e.g. the references cited in Snyder and Ting, 2005, p. 2). The literature proposes several explanations of why voters care about congress members’ voting records. Snyder and Ting (2003) argue that voters have to care about congress members’ voting behavior in order to limit the influence of interest groups. Also voters care about congress members’ preferences, and their voting behavior is informative about those preferences (Snyder and Ting 2002, 2003). Yet another reason is that voters are unable to evaluate the effect of congressmen’s behavior on the outcome they care about, and are thus limited to focus on the voting behavior itself (Arnold, 1990).

<sup>12</sup>We have worked out the details of an extended version of the model including a primary policy issue, and the results are qualitatively similar.

popularity.<sup>13,14</sup> To make sure that there is no doubt about the forces underlying our results, we assume that  $\phi_j = \phi \forall j$ .

At the end of the politician’s mandate, voters decide whether to re-elect her or vote for a challenger. However, not all voters know what the politician did during her mandate. As in Stromberg (2004), we let the variable  $\xi_{ij}^t = 1$  if voter  $i$  in group  $j$  knows what the incumbent has done in period  $t$ , and  $\xi_{ij}^t = 0$  otherwise. The decision of re-electing the politician is based on a simple rule: each voter  $i$  in group  $j$  casts the ballot in favor of the incumbent politician if her utility under this politician has met some minimum standard  $\bar{u}_j$ :<sup>15</sup>

$$\sum_t \xi_{ij}^t W_j^t(s) + \sigma_{ij} + \mu \geq \bar{u}_j. \quad (3)$$

For each individual  $i$  in group  $j$ , the politician assigns a probability  $\chi_j^t$  that the voter knows what she has done in period  $t$ . Following the principle of recency (Mullainathan 2002), we assume that voters are, on average, better informed about more recent events, i.e.  $\chi_j^1 < \chi_j^2$ . This is in line with theoretical studies emphasizing that voters suffer from a recency bias, following the so-called “what have you done for me lately?” principle (e.g. Fiorina, 1981; Weingast *et al.*, 1981; Ferejohn, 1986; Shepsle *et al.*, 2009). Empirical and experimental evidence provides support for the existence of such bias (e.g. Lewis-Beck and Stegmaier, 2000; Bartels, 2008; Huber *et al.*, 2012; Healy and Lenz, 2014).<sup>16</sup> For the sake of expositional simplicity, we assume that  $\chi_j^t = \chi^t \forall j$ .

For any given  $\mu$ , we can compute  $\pi_j$ , the fraction of each group voting for the in-

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<sup>13</sup>As usual in probabilistic voting models, there is an implicit assumption that, for any incumbent, there are always voters that can be swung at the margin, i.e. the support of  $\sigma_{ij}$  is large enough. However, one could imagine situations in which, due to strong ideological divergences, some minority voters may never vote for an incumbent, even if she adopts a stance they like. Our results continue to hold (at least qualitatively) if we introduce such “partisan voters” in the model.

<sup>14</sup>We could allow for a group-specific bias against or in favor of the incumbent by introducing a non-stochastic shifter, say,  $\psi_j$  in the distribution of  $\sigma_{ij}$ , i.e.  $\sigma_{ij} \sim U[-\frac{1}{2\phi_j} - \psi_j, \frac{1}{2\phi_j} - \psi_j]$ . This could capture differences in the average popularity of the incumbent with different groups of voters. Introducing such bias would not affect our results, since the incentives of the incumbent would not change at the margin.

<sup>15</sup>Our results do not rely on this specific retrospective voting rule. We can easily rewrite our model as a forward-looking voting model, in which two candidates credibly commit to a policy platform. In such a specification,  $\bar{u}_j$  would simply be replaced by voter  $i$ ’s utility when the challenger wins the election.

<sup>16</sup>Instead of such an informational recency bias, we could assume that voters have a preference for the present. By discounting the policy decision in period 1, they would end up weighting more the policy decision in period 2. The effect on the re-election rule would be equivalent to the informational recency bias.

cumbent politician, and then derive the probability of her re-election:

$$\Pi(s) = \Pr_{\mu} \left( \sum_j n_j \pi_j \geq \frac{1}{2} \right) = \frac{1}{2} + \gamma \sum_j n_j \left( \sum_t \chi^t W_j^t(s) - \bar{u}_j \right). \quad (4)$$

This expression illustrates the costs and benefits in terms of re-election prospects of a pro-issue vote in any given period. For instance, consider the case of a politician pondering two possible strategies: voting anti issue in both periods –  $(s_1, s_2) = (0, 0)$ , and voting anti issue only in period 1 –  $(s_1, s_2) = (0, 1)$ . The change in her probability of re-election is proportional to  $n_a \alpha_a \chi^2 - n_p \alpha_p \chi^2$ . Indeed,  $n_j \alpha_j \chi^2$  is the mass of group- $j$  voters that can be swung by a change in the politician’s voting behavior in period 2. Thus, when  $n_a \alpha_a < n_p \alpha_p$ , the incumbent attracts more votes by appealing to the pro-issue minority than by appealing to the anti-issue minority.

Besides her re-election prospects, the incumbent cares about the ballot she casts. Her utility is:

$$U(s) = \Pi(s) + \theta \omega(s), \quad (5)$$

where  $\omega(s)$  represents the politician’s policy preferences and  $\theta (\geq 0)$  captures the importance of policy preferences relative to re-election motives.<sup>17</sup>

The incumbent can be either in favor or against a given policy issue. We assume that an anti-issue incumbent has the following preferences:

$$\omega_a(0, 0) > \omega_a(0, 1) = \omega_a(1, 0) > \omega_a(1, 1), \quad (6)$$

while a pro-issue incumbent has the following preferences:

$$\omega_p(1, 1) > \omega_p(1, 0) = \omega_p(0, 1) > \omega_p(0, 0). \quad (7)$$

Our results are robust to incumbents having a preference for the present. They would then prefer to implement less preferred policies in the second period. In particular, an

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<sup>17</sup>Alternatively,  $\omega(s)$  can be interpreted as the preferences of the politician’s party. A large literature provides strong evidence that U.S. congressmen from the same party tend to vote similarly (e.g. Poole and Rosenthal, 1985 and 2007; Krehbiel, 1993; Levitt, 1996; Ansolabehere *et al.* 2001a; McCarty *et al.*, 2001). This finding may reflect the fact that party members are drawn from a pool of candidates with similar ideologies. It may also reflect party discipline: political parties may influence their members’ voting behavior through rewards (e.g. favorable committee assignments and leadership positions) and punishments (e.g. dismissal from key committees or reallocation of federal funding away from a legislator’s constituency).

anti-issue incumbent would prefer  $s = (0, 1)$  than  $s = (1, 0)$ , i.e.,  $\omega_a(0, 1) > \omega_a(1, 0)$ , while a pro-issue incumbent would prefer  $s=(1, 0)$  than  $s=(0, 1)$ , i.e.,  $\omega_p(0, 1) > \omega_p(1, 0)$ . In the next subsection, it will become clear that this assumption has the same effect on equilibrium behavior than the assumption that voters suffer from a recency bias (informational or not).

### 3.2 Results

To state our results, it is useful to introduce one additional piece of notation to capture the difference in intensity-weighted size of the two minority groups:

$$\Delta^h \equiv n_p \alpha_p - n_a \alpha_a,$$

where the super-script  $h$  refers to the issue at hand: gun-control ( $h = gun$ ), environment ( $h = env$ ), and reproductive rights ( $h = repr$ ).

In what follows, we characterize the behavior of the incumbent on each issue  $h$ . All proofs are in the online Appendix. We start by characterizing the behavior of an anti-issue incumbent:

**Proposition 1** *The behavior of an anti-issue incumbent on issue  $h$  is uniquely defined:*

- (i) For  $\Delta^h \geq \max\left\{\frac{\theta(\omega_a(0,1)-\omega_a(1,1))}{\chi^{1\gamma}}, \frac{\theta(\omega_a(0,0)-\omega_a(1,1))}{(\chi^1+\chi^2)\gamma}\right\}$ ,  $(s_1^*, s_2^*) = (1, 1)$ ;
- (ii) For  $\Delta^h \leq \min\left\{\frac{\theta(\omega_a(0,0)-\omega_a(0,1))}{\chi^{2\gamma}}, \frac{\theta(\omega_a(0,0)-\omega_a(1,1))}{(\chi^1+\chi^2)\gamma}\right\}$ ,  $(s_1^*, s_2^*) = (0, 0)$ ;
- (iii) For  $\Delta^h \in \left(\frac{\theta(\omega_a(0,0)-\omega_a(0,1))}{\chi^{2\gamma}}, \frac{\theta(\omega_a(0,1)-\omega_a(1,1))}{\chi^{1\gamma}}\right)$ ,  $(s_1^*, s_2^*) = (0, 1)$ .

This means that election proximity can only have a *pro-issue effect* on an anti-issue incumbent. The intuition for this result is simple: an anti-issue incumbent would like to vote “nay” in both periods to satisfy her policy preferences/her party’s line. However, if the pro-issue minority is stronger than the anti-issue minority ( $\Delta^h > 0$ ), voting “nay” is costly in terms of re-election prospects, since it swings away many pro-issue voters and attracts few anti-issue voters. In this case, the incumbent faces a tradeoff between voting according to her preferences and maximizing the probability of being re-elected. The assumption that voters suffer from a recency bias implies that second-period policy choices have a bigger impact on re-election chances. As a result, an anti-issue incumbent may vote “nay” in the first period (in line with her policy preferences/her party line), and “yea” in the second period (in line with the preference of the stronger single-issue minority).



Proposition 1 also implies that an anti-issue incumbent will only flip flop if  $\Delta^h$  is of intermediate size. This result is again intuitive: when the pro-issue minority is much stronger than the anti-issue minority (case (i)), the anti-issue incumbent finds it worthwhile to support its interests in both periods; when instead the anti-issue minority is much stronger than the pro-issue minority (case (ii)), the anti-issue incumbent can afford voting according to her preferences in both periods; it is only when  $\Delta^h$  is positive but not too large (case (iii)), that the anti-issue incumbent will switch from voting “nay” in the first period to voting “yea” in the second.

The behavior of a pro-issue incumbent can be characterized in a similar way:

**Proposition 2** *The behavior of a pro-issue incumbent on issue  $h$  is uniquely defined:*

- (i) For  $\Delta^h \geq \max\left\{\frac{\theta(\omega_p(1,0)-\omega_p(1,1))}{\chi^2\gamma}, \frac{\theta(\omega_p(0,0)-\omega_p(1,1))}{(\chi^1+\chi^2)\gamma}\right\}$ ,  $(s_1^*, s_2^*) = (1, 1)$ ;
- (ii) For  $\Delta^h \leq \min\left\{\frac{\theta(\omega_p(0,0)-\omega_p(1,0))}{\gamma\chi^1}, \frac{\theta(\omega_p(0,0)-\omega_p(1,1))}{(\chi^1+\chi^2)\gamma}\right\}$ ,  $(s_1^*, s_2^*) = (0, 0)$ ;
- (iii) For  $\Delta^h \in \left(\frac{\theta(\omega_p(0,0)-\omega_p(1,0))}{\chi^1\gamma}, \frac{\theta(\omega_p(1,0)-\omega_p(1,1))}{\chi^2\gamma}\right)$ ,  $(s_1^*, s_2^*) = (1, 0)$ .

This means that election proximity can only have an *anti-issue effect* on a pro-issue incumbent. As in the case of an anti-issue incumbent, flip-flopping only happens when  $\Delta^h$  is of intermediate size (case (iii)). This is because, if the pro-issue minority is strong enough, the pro-issue incumbent will be able to vote “yea” in both periods (case (i)). If instead the anti-issue minority is strong enough, the pro-issue incumbent will choose “nay” in both periods (case (ii)).

Finally, consider the behavior of an incumbent who is not seeking re-election (or who holds a safe seat and is thus not afraid of losing office). This case can be captured by a parameter  $\theta$  large enough so that the incumbent’s re-election incentives are swamped by his/her policy preferences:

**Proposition 3** *There is always a  $\theta$  sufficiently large such that  $(s_1^*, s_2^*) = (0, 0)$  is the equilibrium for an anti-issue incumbent, and  $(s_1^*, s_2^*) = (1, 1)$  is the equilibrium for a pro-issue incumbent.*

### 3.3 Testable predictions

To map the above propositions into empirical predictions, we will examine the impact of election proximity on the voting behavior of U.S. senators on regulations concerning gun control, environment, and reproductive rights. As discussed before, the staggered structure of the U.S. Senate, in which members serve six-year terms and one third is

up for re-election every two years, allows to compare the voting behavior of different generations of senators, depending on how close they are to facing re-election.

In terms of voters' preferences, we will work under the following assumptions, justified above: (i) the pro-gun minority is substantially larger than the minority in favor of gun regulations (i.e.  $\Delta^{gun} < 0$ ) ; (ii) the pro-environment minority is substantially larger than the anti-environment minority (i.e.  $\Delta^{env} > 0$ ); and (iii) there are no substantial size or intensity differences between the pro-life and pro-choice minorities (i.e.  $\Delta^{repr} \simeq 0$ ).

We also need a proxy for  $\omega(s)$ . To this purpose, we assume that senators' policy preferences reflect their party line: Republican senators are pro gun, opposed to environmental regulations, and pro life, while Democratic senators are pro gun control, pro environment, and pro choice. As mentioned before, a large body of literature finds that politicians from the same party tend to vote similarly, either because politicians' with similar preferences select into the same party or because parties use rewards and punishments to influence their members' voting behavior (e.g. Poole and Rosenthal, 1985 and 2007; Krehbiel, 1993; Levitt, 1996; Ansolabehere *et al.* 2001a; McCarty *et al.*, 2001). These findings suggest that party affiliation can be used as a proxy for senators' policy preferences. The assumption that Republicans are more likely to be pro gun, anti environment and pro life (and conversely for Democrats) is in line with previous studies reviewed in Section 2 on US congressmen's votes on gun regulations (e.g. Langbein and Lotwis, 1990), environmental regulations (e.g. Nelson, 2002), and reproductive rights (Washington, 2008). It also finds support in our data on roll-call votes on these issues.<sup>18</sup>

Propositions 1 and 2 lead to our first testable prediction:

**Prediction 1.** Election proximity should increase the likelihood that Democratic senators vote pro-gun and that Republican senators vote pro-environment; it should have no effect on the voting behavior of Democrats and Republicans voting on reproductive rights.

In the model, incumbents flip flop when they face a tradeoff between their policy preferences and their re-election motives. Notice that measurement error in our proxy of incumbents' policy preferences works against us, making it harder to find support for Prediction 1. To see this, take the example of gun control and suppose that some Democrats are actually pro gun and some Republicans are actually anti gun. Contrary to Prediction 1, Republicans should then be the ones to flip flop (since they face a trade

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<sup>18</sup>Based on our samples of votes, Republican senators are around 42 percentage points more likely to vote pro-guns, 38 percentage points less likely to vote pro-environment, and 72 percentage points more likely to vote pro-life than Democratic senators.

off between their true policy preferences and their electoral incentives), while Democrats should vote pro-gun throughout their terms in office.

Proposition 3 suggests that senators who are not concerned about re-election – either because they are retiring or because they hold safe seats – should not flip flop, voting in line with their policy preferences throughout their terms in office. This result leads to our next testable predictions:

**Prediction 2.** Election proximity should not have a pro-gun (pro-environment) effect on the voting behavior of Democratic (Republican) senators who are retiring.

**Prediction 3.** Election proximity should not have a pro-gun (pro-environment) effect on the voting behavior of Democratic (Republican) senators who hold safe seats.

Propositions 1 and 2 highlight the ambiguous effect that the size of the single-issue minority can have on the flip-flopping behavior of incumbents. For example, consider  $n_p$ , the size of the pro-issue minority. Proposition 1 shows that, when  $n_p$  is either sufficiently small (condition (ii) is satisfied), or sufficiently large (condition (iii) is satisfied), an anti-issue incumbent does not flip flop. In the former case, she always vote against the issue, while, in the latter case, she always vote in favor. It is only when  $n_p$  is of intermediate size (condition (iii) is satisfied) that the incumbent flip flops. This means that  $n_p$  has a non-monotonic effect on incumbents’ incentives to flip flop. Similarly, Proposition 2 shows that  $n_a$ , the size of the anti-issue minority, has an ambiguous effect on the flip-flopping behavior of a pro-issue politician. This leads to our last testable prediction:

**Prediction 4.** Election proximity should only have a pro-gun (pro-environment) effect on the voting behavior of Democratic (Republican) senators when the pro-gun (pro-environment) minority in their state is of intermediate size.

## 4 Data

To assess the validity of the model’s predictions, we have assembled a novel dataset that allows us to link U.S. senators’ voting behavior on each policy issue to a wealth of characteristics of the legislators and their constituencies. In this section we describe our data, starting from our dependent variables. Table A-1 in the online Appendix provides descriptive statistics for all the variables used in our regressions.

## 4.1 U.S. Senators’ votes

For each of the three secondary policy issues, we have collected data on Senate roll-call votes.<sup>19</sup> Our dependent variable,  $Vote_{ijvt}$ , is equal to 1 when senator  $i$  from state  $j$  in year  $t$  casts a pro-gun, pro-environment, or pro-choice vote  $v$ .<sup>20</sup>

In order to identify the relevant votes to be included in the analysis, we rely on the lists of votes assembled by single-issue organizations. As a result, the first year in the sample period varies according to each organization’s voting records. For all three issues, the end year is 2012, the last year for which we can construct all the control variables.

Votes on gun regulations are collected by Gun Owners of America (GOA), a non-profit organization aimed at preserving and defending the Second Amendment rights of gun owners. Since 1994, GOA has been keeping track of key gun votes in Congress, indicating whether or not they support them.<sup>21</sup> In our empirical analysis, we will study the determinants of GOA-supported votes, i.e. for which it wanted congressmen to vote “yea.”<sup>22</sup> The sample of GOA votes covers the period 1994-2012 and includes two different types of votes: those to strengthen the rights of gun owners, and those to reject gun-control legislation that threatens these rights. An example of the first type is the vote cast on July 22, 2009 to pass an amendment introduced by Senator John Thune (R-SD), allowing individuals to carry concealed firearms across state lines. An example of the second type is the vote on May 12, 1999 to table an amendment introduced by Senator Frank Lautenberg (D-NJ) to ban the private sales of firearms at gun shows unless buyers submitted to background registration checks.<sup>23</sup>

Votes on environmental regulations are collected by the League of Conservation Voters (LCV), a non-profit organization to raise awareness on environmental issues. Since 1971 LCV keeps track of relevant roll-call votes, which are selected by a panel of ex-

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<sup>19</sup>Congressional votes can be found at [legacy.voteview.com](http://legacy.voteview.com).

<sup>20</sup>We focus on the choices made by legislators casting ballots, disregarding abstentions. It is well known that abstentions are rare in the US Congress. Rosas *et al.* (2015) point out that abstentions “need not be problematic in legislatures with limited amounts of missing data; in the contemporaneous U.S. Congress, for example, missingness rates are extremely low.” In our data, there are 37 abstentions (i.e. 2.5 percent) on gun regulations, 2,003 abstentions (i.e. 5.1 percent) on environmental regulations, and 100 abstentions (i.e. 2.0 percent) on regulations related to reproductive rights.

<sup>21</sup>The NRA publishes gun ratings of politicians, but does not keep track of key gun votes in Congress.

<sup>22</sup>These votes fit the kind of decisions faced by politicians in our theoretical model, capturing votes that really matter for the pro-gun minority, for two reasons: senators’ decisions on votes supported by GOA are a strong predictor of their ratings by gun-rights organizations (see Bouton *et al.*, 2014). Moreover, they concern gun regulations on which there is a clear party divide: based on the definition of bipartisan cosponsorship from Harbridge and Malhotra (2011), none of these votes was bipartisan.

<sup>23</sup>In the U.S. Congress, a request to “table” a pending motion is a procedure to suspend consideration of the motion. A vote to table gun-control legislation is thus classified as a pro-gun vote by GOA.

perts from environmental and conservation organizations. The votes are classified under different issues: Lands/Forests, Dirty Energy, Clean Energy, Air, Water, Wildlife, Transportation, Toxics/Public Right to Know, Drilling and Other. LCV specifies if each vote is pro or anti environment. An example of a pro-environment vote is a vote in favor of the amendment proposed by Senator Bernard Sanders (I-VT) to eliminate \$35 billion in subsidies to the oil and gas industry, redirecting \$10 billion of the savings to the Energy Efficiency and Conservation Block Grant Program, a grant program that allows communities to invest in projects that reduce energy usage. An example of anti-environment vote was on the Congressional Review Act resolution of disapproval sponsored by Senator James Inhofe (R-OK) in 2012, not to apply the Mercury and Air Toxics Standard to Power Plants. The sample of LCV votes covers the period 1971-2012.

Votes on reproductive rights were collected by the National Right to Life Committee (NRLC), the oldest and largest pro-life organization in the U.S. NRLC specifies if a vote is pro life or pro choice. An example of the former is the vote in 2006 on the Child Custody Protection Act sponsored by Senator John Ensign (R-NV) to prohibit the transportation of a minor girl across state lines to obtain an abortion. An example of the latter is the vote in 1996 on the amendment sponsored by Senator Patty Murray (D-WA.) to require military medical facilities to provide abortion on request to military personnel and dependents. The sample of NRLC votes covers the period 1997-2012.

We exclude from our analysis votes that are not directly related to regulations about the three policy issues of interest. One example for the case of gun regulations, is the vote cast in 2001 on the amendment to the Federal Election Campaign Act of 1971 proposed by Senator John McCain (D-AR). Though not directly related to gun regulations, this vote is included in the list of GOA because it would “severely curtail the ability of outside groups such as GOA to communicate the actions of incumbent politicians to members and supporters prior to an election.” In the case of environment, we exclude votes that are classified under the category “Other”. An example is the vote on the amendment on Regulatory Rollbacks proposed by Senator Olympia Snowe (R-ME) in 2011. Though not directly related to the environment, this vote was of interest to the LCV because it would “create several unnecessary new processes to complicate economic analyses of proposed rules” and “require a lengthy periodic review process for rules at select agencies, including the EPA, and impose mandatory budget cuts if reviews are not conducted or are incomplete.” Finally, an example of votes on the NRLC list that we exclude from our analysis is on the Assisted Suicide Funding Restriction Act of 1997,

which is related to euthanasia rather than reproductive rights. Overall, our dataset includes 15 votes on gun regulations, 397 votes on environmental regulations, and 51 votes on reproductive rights regulations.

## 4.2 Characteristics of legislators

Our primary interest is to examine the impact of election proximity on the voting behavior of U.S. senators. As discussed above, senators serve six-year terms, with one third of them up for re-election every two years. We have collected information on each senator's class from ICPSR and McKibbin (1997) for years 1971-1996 and from the Congressional Directory for the years 1997-2012.<sup>24</sup> We define those senators who are serving the last two years of their terms as belonging to the third generation; the second generation captures those senators in the middle two years of their terms, while the first generation includes senators in the first two years.<sup>25</sup> We use the indicator variables  $SenateG_{it}$ ,  $G \in \{1, 2, 3\}$  to capture the generation to which senator  $i$  belongs in year  $t$ .

To control for party affiliation, we use the dummy variable  $Republican_{it}$ , which is equal to one if senator  $i$  belongs to the Republican party in year  $t$ .<sup>26</sup> We also control for the role of demographic characteristics by including the variables  $Female_i$  and  $Age_{it}$  in our analysis.

To verify Prediction 2, we construct the dummy variable  $Retiring_{it}$ , which identifies politicians who are stepping down from office for exogenous reasons. To this purpose, we combine data from Overby and Bell (2004) and Swift *et al.* (2000).<sup>27</sup> The variable  $Retiring_{it}$  takes value 1 during the last six years of senators who voluntarily departed (for personal reasons or to pursue other office), excluding those who were expelled or defeated in primary or general elections.<sup>28</sup>

To assess the validity of Prediction 3, we use the variable  $Safe Seat_{it}$  to identify politicians who ran unopposed or were elected with a large vote margin and should thus

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<sup>24</sup>See <https://www.govinfo.gov/app/collection/CDIR/>.

<sup>25</sup>We use the term generation instead of class, since the class facing re-election changes each election. For example, Class I senators faced re-election in 2012, while class II senators did in 2008.

<sup>26</sup>We allow this variable to be time varying, since a few senators in our sample (Ben Nighthorse Campbell, Jim Jeffords, Richard Shelby and Arlen Specter) switched from one party to the other. Other senators switched from one of the parties to being independent (e.g. Joe Lieberman and Bernard Sanders) and are coded according to the party they caucus with.

<sup>27</sup>This information is augmented using information from the website [rollcall.com](http://rollcall.com).

<sup>28</sup>In the dataset on gun regulations, we observe 83 senators casting votes; of these, 14 (i.e. 16.87 percent) are coded as retiring (at some point) during the 1994-2012 period. When looking at the much larger sample of votes on environmental regulations, 62 of the 178 senators (i.e. 34.83 percent) are coded as retiring (at some point) during the 1971-2012 period.

not be afraid of losing office.<sup>29</sup> The data to construct this variable comes from Swift *et al.* (2000) and U.S. Election Statistics.<sup>30</sup> In our baseline regressions, this dummy variable is equal to one when the difference in votes between the winner (Senator  $i$ ) and the runner-up in the last elections is above the 90th percentile of the distribution of vote margins in our sample (i.e., a vote margin of more than about 42%).<sup>31</sup>

### 4.3 Characteristics of constituencies

We control for several characteristics of senators' constituencies, which might affect how they vote on the three policy issues. In all our regressions, we include the variable  $Education_{jt}$ , which is equal to the share of the population aged 25 and over of state  $j$  in year  $t$  with a college degree. Data for the years 1970-1989 is based on interpolation of Historical Census Statistics On Educational Attainment. For the years 1990-2006 we use data from the Current Population Survey (interpolating years not available). For the years 2007-2015 we use data from the American Community Survey.

Below we describe the additional state-level controls included in our analysis.

#### Gun control

To proxy for the size of the pro-gun minority, we follow Duggan (2001) and use state-level data on subscriptions to gun magazines. These data come from audit reports of circulation from the Alliance for Audited Media. *American Rifleman* and *American Hunter* are the two leading gun magazines in the United States.<sup>32</sup> The variable  $Gun\ magazine\ subscriptions_{jt}$  is the number of subscriptions to *American Rifleman* and *American Hunter* per 1,000 inhabitants in state  $j$  and year  $t$ .<sup>33</sup>

Figure A-4 in the online Appendix shows that there is significant variation in per capita subscriptions across states. Somewhat surprisingly, per capita subscriptions to

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<sup>29</sup>The variable  $Margin\ of\ victory_{it}$  is equal to  $\frac{v_i - v_r}{v_i + v_r}$ , where  $v_i$  and  $v_r$  denote respectively the votes received by the incumbent and the runner-up. It is defined both for general and special elections. We lose some observations for appointed senators, who at the time of the vote had not yet faced an election.

<sup>30</sup>See <https://history.house.gov/Institution/Election-Statistics/Election-Statistics/>.

<sup>31</sup>Based on this definition, 14 senators (i.e. 16.87 percent) have a safe seat in the sample of gun votes. In the case of votes on environmental regulations, 27 senators (i.e. 15.17 percent) hold safe seats.

<sup>32</sup>*American Rifleman* is the default magazine that individuals receive when joining the NRA. In 2010, *American Rifleman* had 53% of the total circulation of NRA magazines, followed by *American Hunter* with 30% and *America's 1st Freedom* with 17%. It was also the leading magazine in 49 of the U.S. states (the exception was Wisconsin, in which *American Hunter* was the leading one).

<sup>33</sup>Our results are unaffected if we use subscriptions only to *American Rifleman* or *American Hunter* to proxy for the size of the pro-gun minority.

gun magazines are higher in some Democratic-leaning states (e.g. Oregon, Washington) than in some Republican-leaning states (e.g. Texas, Georgia).<sup>34</sup> This is partly due to the fact that subscriptions to gun magazines tend to be higher in rural states.<sup>35</sup>

The variable  $Crime\ rate_{jt}$  is the number of violent crimes (murder and non-negligent manslaughter, forcible rape, robbery, and aggravated assault) per 100,000 inhabitants in state  $j$  and year  $t$ , from the Federal Bureau of Investigation (FBI).<sup>36</sup>

## Environment

Previous studies show that environmental concerns are more prominent in urban areas (e.g. Dunlap and Allen 1976; Anderson, 2011). Following these studies, we define the variable  $Share\ Urban\ Population_{jt}$  as the percentage of urban population in state  $j$  and year  $t$ . This is constructed using data from the Decennial U.S. Census, linearly interpolated for in-between years. We also control for the extent of air pollution in a state. To this purpose, we use the variable  $Carbon\ Emissions_{jt}$  from the Environmental Protection Agency (EPA), which gives CO<sub>2</sub> emissions (in million metric tons) from fossil fuel combustion per 10,000 inhabitants in state  $j$  and year  $t$ .

To proxy for the size of the pro-environment minority in U.S. states, we use data from List and Sturm (2006) on the share of population in the three largest environmental organizations (Greenpeace, Sierra Club, and National Wildlife Federation). Unfortunately, the data is only available for one year (1987). However, Figure A-5 in the online Appendix shows that the variable  $Membership\ in\ Green\ Organizations_j$  varies significantly across states.

## Reproductive Rights

When studying senators' votes on reproductive rights regulations, we include the variable  $Religious\ Supporters_{jt}$  to capture religious attitudes towards abortion.  $Religious\ Supporters_{jt}$  measures the share of religious adherents to any church in state  $j$  and year

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<sup>34</sup>For each of the four Presidential elections that have occurred during our sample period, we have computed the share of votes for the Republican candidate in each state. The correlation between this variable and  $Gun\ magazine\ subscriptions_{jt}$  is 0.27.

<sup>35</sup>Using information from the U.S. Census Bureau, we find that the correlation between the share of each state's population living in rural areas and per capita subscriptions to gun magazines is 0.39.

<sup>36</sup>We can also construct the variable  $Gun\ production_{jt}$ , using information from the Bureau of Alcohol, Tobacco, Firearms and Explosives. Unfortunately, this is only available for the period 1998-2010, so including it in our analysis would drastically reduce the size of the sample.



$t$ , and comes from the Association of Religion Data Archives (ARDA).<sup>37</sup> The data are available for the years 1990, 2000 and 2010, so we linearly interpolate between 1990 and 2010, and use the last year available for 2011 and 2012. Figure A-6 in the online Appendix illustrates variation in *Religious Supporters<sub>jt</sub>* across U.S. states.

We have also constructed the variable *Abortions<sub>jt</sub>*, which is the number of reported abortions per 1,000 inhabitants in state  $j$  and year  $t$ . Data for this variable comes from different sources: Henshaw and Van Vort (1990) for the years 1987-1988; Henshaw and Van Vort (1994) for the years 1991-1992; Henshaw (1998), for the years 1995-1996; Jones and Kooistra (2011) for 2000, 2005, 2007 and 2008; Jones and Jerman (2014) for the years 2010-2011; and Jones and Jerman (2017) for the years 2013-2014. We use linear interpolation to complete missing years.<sup>38</sup>

## 5 Empirical Methodology and Results

We follow two complementary strategies to identify the effect of election proximity on senators' voting behavior. First, we exploit variation in the voting behavior of *different senators*, depending on which generation they belonged to at the time of the vote. Second, we exploit changes in the voting behavior of *individual senators* over time.

### 5.1 The impact of election proximity, party differences

To assess the validity of Prediction 1, we estimate the following linear probability model:

$$\begin{aligned} \text{Vote}_{ijvt} = & \lambda_0 + \lambda_1 \text{Senate3}_{it} \times \text{Democrat}_{it} \\ & + \lambda_2 \text{Senate12}_{it} \times \text{Republican}_{it} + \lambda_3 \text{Senate3}_{it} \times \text{Republican}_{it} \\ & \lambda_5 \mathbf{X}_{it} + \lambda_3 \mathbf{W}_{jt} + \delta_j + \delta_t + \epsilon_{ijvt}. \end{aligned} \tag{8}$$

The dependent variable is  $\text{Vote}_{ijvt}$ , which is equal to 1 if senator  $i$  from state  $j$  votes pro issue (i.e. pro gun, pro environment or pro choice) on vote  $v$  in year  $t$ . The main regressor of interest is  $\text{Senate3}_{it}$ , the dummy variable for the third generation of senators, identifying legislators who are closest to facing re-election. For ease of exposition, we

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<sup>37</sup>Adherents are defined as all members, including full members, their children, and the estimated number of other participants who are not considered members (e.g. those regularly attending services).

<sup>38</sup>The number of abortions reported in a given state is meant to capture the pro-choice/pro-life preferences of citizens. However, it might also be influenced by state-level legislation on abortion. In our regressions on U.S. Senate votes on reproductive rights, we will report parsimonious specifications in which we omit the variable  $\text{Abortions}_{jt}$  and we will always include state fixed effects to account for time-invariant state characteristics that might affect senators' votes.

combine the first and second generations of senators into one omitted category, i.e.  $Senate12_{it}$ .<sup>39</sup> When estimating (8), we cluster standard errors at the state level.

According to the first prediction of our theoretical model, whether or not senators flip flop should depend on the issue under consideration and on their party affiliation. In the case of gun regulations, election proximity should increase the probability that Democratic senators vote pro gun;  $\lambda_1$  should thus be positive and significant, while  $\lambda_2$  should not be significantly different from  $\lambda_3$  for gun-related votes. When it comes to environmental regulations, election proximity should instead increase the probability that Republican senators vote pro environment;  $\lambda_1$  should thus be insignificant, while  $\lambda_2$  and  $\lambda_3$  should be negative and significant, with  $\lambda_3$  significantly smaller than  $\lambda_2$ . Finally, election proximity should have no impact on senators' voting behavior on reproductive rights, because of the presence of intense minorities on both sides of the issue;  $\lambda_1$  should thus be insignificant, and  $\lambda_2$  should not be significantly different from  $\lambda_3$ .

The matrix  $\mathbf{X}_{it}$  includes additional controls for legislators (e.g. party affiliation, gender, age), and  $\mathbf{W}_{jt}$  is a matrix of state-specific characteristics (e.g. crime rate, education). In our benchmark specifications, we also include two sets of fixed effects:  $\delta_j$  are state dummies, capturing time-invariant characteristics of constituencies that may affect senators' voting behavior (e.g. rural);  $\delta_t$  are year dummies, which allow us to account for year-specific variables (e.g. share of Democratic senators in Congress). In alternative specifications, we replace the year dummies with vote dummies or add interactions between state and year dummies. Notice that, when we include these interactions, we identify the effect of election proximity based on differences in the voting behavior of senators from the same state in the same year. This allows us to account for changes in state-level preferences on a given issue due to a local shock (e.g. a shooting rampage).

When we estimate (8), we identify the effect of election proximity exploiting variation in the voting behavior of *different senators*, depending on which generation they belonged to at the time of the vote. This identification strategy relies on the staggered structure of the Senate. This guarantees that, at any point in time, a third of legislators are close to facing re-election (i.e. whenever a vote is cast in the Senate, a third of members belong to the third generation).

Still, one might be concerned that the timing of the votes could be correlated with characteristics of the senators who belong to the third generation. For example, votes on gun control may always be timed so that some Democratic senators are close to facing

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<sup>39</sup>The results are virtually identical if we only include first-generation senators in the omitted category:  $Senate3_{it}$  remains positive and significant and  $Senate2_{it}$  is not statistically significant.

re-election. If this is the case, a positive correlation between belonging to the third generation and voting pro gun may be driven by selection effects in the timing of the votes rather than by the impact of election proximity (although the inclusion of year or vote dummies alleviates these concerns, allowing us to control for the composition of the Senate at the time of the vote).

Our second empirical strategy allows us deal with this concern, exploiting variation in the voting behavior of *individual senators over time* to identify the effect of election proximity. This strategy relies on the fact that senators usually serve for long periods of time and cast several votes on each policy issue while belonging to different generations. In this case, if the results confirm our model’s predictions, they cannot be driven by selection effects in the timing of the votes: if the votes on a particular policy issue were always timed so that some particular senators are close to re-election, we should not find any evidence of flip-flopping when relying only on within-senator variation.

We estimate the following linear probability model:

$$\begin{aligned}
 Vote_{ijvt} = & \lambda_0 + \lambda_1 Senate3_{it} \times Democrat_{it} \\
 & + \lambda_2 Senate12_{it} \times Republican_{it} + \lambda_3 Senate3_{it} \times Republican_{it} \\
 & \lambda_4 \mathbf{X}_{it} + \lambda_3 \mathbf{W}_{jt} + \delta_i + \delta_t + \epsilon_{ijvt},
 \end{aligned} \tag{9}$$

where  $\delta_i$  are senator dummies. In these regressions, we cluster standard errors at the senator level. The interpretation (and expected signs) of the key variables of interest are the same as for model (8): for votes on gun control,  $\lambda_1$  should be positive and significant, while  $\lambda_2$  should not be significantly different from  $\lambda_3$ ; for votes on environmental regulations,  $\lambda_1$  should be insignificant, while  $\lambda_2$  and  $\lambda_3$  should be negative and significant, with  $\lambda_3$  significantly smaller than  $\lambda_2$ ; for votes on reproductive rights,  $\lambda_1$  should thus be insignificant, and  $\lambda_2$  should not be significantly different from  $\lambda_3$ .

Tables 1-3 present the results of estimating models (8) and (9) for each of the three policy issues. The various specifications reported in each table differ in terms of the regressors and fixed effects that we include, or the econometric methodology that we employ, but they all provide strong support for the first prediction of our model. Focusing on our key regressors, we see that the estimated coefficients  $\lambda_1$ ,  $\lambda_2$  and  $\lambda_3$  match the

expected sign and significance.

Table 1

The impact of election proximity on votes on gun regulations, party differences

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × Democrat <sub>it</sub>	0.071* (0.038)	0.072* (0.037)	0.070* (0.037)	0.085** (0.041)	0.103** (0.045)	0.103** (0.043)	0.099** (0.043)
Senate3 <sub>it</sub> × Republican <sub>it</sub>	0.460*** (0.048)	0.457*** (0.048)	0.456*** (0.049)	0.460*** (0.066)	0.395*** (0.110)	0.386*** (0.117)	0.382*** (0.117)
Senate12 <sub>it</sub> × Republican <sub>it</sub>	0.430*** (0.044)	0.429*** (0.045)	0.429*** (0.045)	0.434*** (0.063)	0.363*** (0.110)	0.359*** (0.116)	0.356*** (0.116)
Male <sub>i</sub>	0.089 (0.054)	0.089* (0.052)	0.090* (0.052)	0.052 (0.058)			
Age <sub>it</sub>	-0.003* (0.002)	-0.003** (0.002)	-0.004** (0.002)	-0.003 (0.002)			
Gun Magazine Subscriptions <sub>jt</sub>		0.017 (0.014)	0.016 (0.014)			-0.008 (0.014)	-0.009 (0.014)
Violent Crime Rate <sub>jt</sub>		0.000* (0.000)	0.000* (0.000)			0.001*** (0.000)	0.001*** (0.000)
Education <sub>jt</sub>		-0.008 (0.010)	-0.007 (0.010)			-0.020* (0.011)	-0.019* (0.011)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	1,460	1,460	1,460	1,460	1,460	1,460	1,460
R-squared	0.591	0.594	0.645	0.694	0.218	0.226	0.341
Test Senate3 <sub>it</sub> × Republican <sub>it</sub> = Senate12 <sub>it</sub> × Republican <sub>it</sub> (p-value)	0.101	0.134	0.136	0.324	0.158	0.254	0.254

Columns show coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level in columns 1-4 and senator level in columns 5-7. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro gun on vote  $v$  in year  $t$ . The sample covers the period 1994-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

The estimates in Table 1 confirm that Democratic senators are more likely to vote pro gun as they approach re-election (the coefficient of the interaction variable  $Senate3_{it} \times Democrat_{it}$  is always positive and significant). By contrast, Republican senators do not change their voting behavior during their terms (the test at the bottom of the table is never significant). These results are in line with our prediction that the presence of a strong minority of gun-rights activists can make Democrats vote against their own preferences when they are close to re-election.<sup>40</sup>

<sup>40</sup>Senator Tom Harking (D-IA) provides an example of a Democrat who flip flopped on gun control: he cast 11 votes on gun-related legislation (4 in the 105<sup>th</sup> Congress, 4 in the 106<sup>th</sup>, 1 in the 109<sup>th</sup>, 1 in 110<sup>th</sup>, and 3 in 111<sup>th</sup>) and only voted pro gun once during the 110<sup>th</sup> Congress (in 2008), the only time in which a vote occurred when he belonged to the third generation of senators.

In terms of magnitude, the effect is very stable across specifications. When comparing across senators, Democrats are between 7.0 and 8.5 percentage points more likely to vote pro gun in the last two years of their mandates. This effect is slightly larger (i.e. around 10 percentage points) when we only exploit variation in the voting behavior of individual senators over time. As expected, Republican senators are significantly more likely to vote pro gun, but their behavior does not change as they get closer to re-election.

Concerning the auxiliary controls, the results of Table 1 indicate that older legislators are less likely to vote pro gun. Our specifications always include state (or senator) fixed effects, which makes it hard to identify the role of constituency characteristics. Nevertheless, the coefficients of the variables *Education<sub>jt</sub>* and *Violent Crime Rate<sub>jt</sub>* suggest that an increase in the education of the electorate increases senators' support for gun regulations, while an increase in crime rate has the opposite effect.<sup>41</sup>

Table 2 reports the results for votes on environmental regulations. As expected, Democratic senators do not change their voting behavior over time (the coefficient of the interaction *Senate<sub>3it</sub>*  $\times$  *Democrat<sub>it</sub>* is never significant). By contrast, Republican senators are more likely to vote pro environment when they are close to re-election: the estimated coefficient  $\lambda_2$  and  $\lambda_3$  are both negative and significant (i.e. Republican senators are less environmentally friendly than Democratic senators), but the coefficient of the interaction term *Senate<sub>3it</sub>*  $\times$  *Republican<sub>it</sub>* is significantly smaller in absolute terms than the coefficient of *Senate12*  $\times$  *Republican<sub>it</sub>* (see the test at the bottom of the table). These results are in line with the first prediction of our model: when it comes to environmental regulations, only Republican senators face a tradeoff between their policy preferences (which lead them to vote against regulations at the beginning of their terms) and their re-election motives (which lead them to vote in line with the preferences of the green single-issue minority at the end of their terms).

The estimates of Table 2 imply that election proximity increases the probability of Republican senators voting pro environment by between 1.7 and to 2.2 percentage points (when comparing across senators) and by between 1.3 and 1.4 percentage points (when exploiting only within-senator variation).<sup>42</sup>

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<sup>41</sup>If we exclude the state fixed effects from the specification in column 2, the coefficient of the variable *Education<sub>jt</sub>* remains negative and significant (at the 1% level), the coefficient of *Gun Magazine Subscriptions<sub>jt</sub>* becomes positive and significant (at the 1% level), while the coefficient of *Violent Crime Rate<sub>jt</sub>* is not significant.

<sup>42</sup>Senator Wayne Allard (R-CO) provides an example of flip flopping on environmental regulations: he voted pro environment only 10 times out of 108, and this happened during the 105th Congress (when he belonged to the third generation), and in the 109<sup>th</sup> and 110<sup>th</sup> Congress (when he belonged to the second and third generation, respectively).

Table 2

The impact of election proximity on votes on environmental regulations, party differences

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × Democrat <sub>it</sub>	-0.004 (0.007)	-0.003 (0.007)	-0.003 (0.007)	-0.004 (0.008)	-0.002 (0.007)	-0.002 (0.007)	-0.002 (0.007)
Senate3 <sub>it</sub> × Republican <sub>it</sub>	-0.366*** (0.023)	-0.370*** (0.023)	-0.370*** (0.023)	-0.374*** (0.027)	-0.246*** (0.062)	-0.243*** (0.064)	-0.245*** (0.063)
Senate12 <sub>it</sub> × Republican <sub>it</sub>	-0.388*** (0.023)	-0.391*** (0.023)	-0.392*** (0.023)	-0.391*** (0.028)	-0.260*** (0.061)	-0.256*** (0.064)	-0.259*** (0.063)
Male <sub>i</sub>	-0.071** (0.031)	-0.068** (0.030)	-0.068** (0.030)	-0.045 (0.031)			
Age <sub>it</sub>	-0.002** (0.001)	-0.002** (0.001)	-0.002** (0.001)	-0.001 (0.001)			
Carbon Emissions <sub>jt</sub>		-0.016* (0.008)	-0.016* (0.009)			-0.009 (0.007)	-0.009 (0.007)
Urban Population <sub>jt</sub>		0.002 (0.004)	0.002 (0.004)			0.000 (0.003)	0.000 (0.003)
Education <sub>jt</sub>		-0.011** (0.005)	-0.011** (0.005)			-0.003 (0.003)	-0.003 (0.003)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	37,277	37,277	37,277	37,277	37,277	37,277	37,277
R-squared	0.360	0.361	0.423	0.437	0.022	0.022	0.125
Test Senate3 <sub>it</sub> × Republican <sub>it</sub> =	0.007	0.009	0.009	0.076	0.083	0.085	0.074
Senate12 <sub>it</sub> × Republican <sub>it</sub> (p-value)							

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level in columns 1-4 and senator level in columns 5-7. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro environment on vote  $v$  in year  $t$ . The sample covers the period 1971-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Concerning the other controls, the results of Table 2 indicate that male legislators are less likely to vote in favor of environmental regulations, in line with earlier findings in the literature on votes on environmental policy (Fredriksson and Wang, 2011). Of the constituency characteristics, the estimated coefficients of the variables  $Education_{jt}$  and  $Carbon Emissions_{jt}$  are negative and significant in the specifications of columns 2 and 3, suggesting that representatives of states that become more educated and experience an increase in pollution are less likely to support environmental regulations.<sup>43</sup>

When it comes to votes on reproductive rights, the evidence in Table 3 is again very

<sup>43</sup>These results exploit only within-state variation. If we exclude the state fixed effects from the specification in column 2, the coefficient of  $Education_{jt}$  becomes positive and significant (at the 1% level), while the coefficient of the variable  $Carbon Emissions_{jt}$  remains negative and significant (at the 5% level); the coefficient of  $Urban_{jt}$  is not significant.

supportive of the first prediction of our theoretical model. In the case of regulations related to reproductive rights, no politician should face a tradeoff between policy preferences and re-election motives, due to the presence of strong pro-choice and pro-life minorities. We would thus expect senators of both parties to vote according to their policy preferences throughout their terms. Indeed, the results in Table 3 show that Republicans are less likely to vote pro choice than Democrats (the coefficients of the interactions  $Senate3_{it} \times Republican_{it}$  and  $Senate12 \times Republican_{it}$  are negative and significant), but election proximity has no significant impact on their voting behavior (in all specifications, the coefficient of  $Senate3_{it} \times Democrat_{it}$  is very small and insignificant, and concerning Republicans, the test at the bottom of the table is also insignificant).

Table 3

The impact of election proximity on votes on reproductive rights, party differences

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × Democrat <sub>it</sub>	-0.030 (0.021)	-0.030 (0.021)	-0.030 (0.021)	-0.033 (0.025)	0.002 (0.012)	0.002 (0.012)	0.002 (0.012)
Senate3 <sub>it</sub> × Republican <sub>it</sub>	-0.735*** (0.053)	-0.737*** (0.051)	-0.737*** (0.052)	-0.743*** (0.063)	-0.055 (0.064)	-0.055 (0.064)	-0.059 (0.068)
Senate12 <sub>it</sub> × Republican <sub>it</sub>	-0.737*** (0.051)	-0.738*** (0.050)	-0.738*** (0.051)	-0.738*** (0.060)	-0.049 (0.058)	-0.049 (0.058)	-0.052 (0.062)
Male <sub>i</sub>	-0.114*** (0.040)	-0.113*** (0.041)	-0.113*** (0.041)	-0.106** (0.052)			
Age <sub>it</sub>	0.002 (0.002)	0.002 (0.002)	0.002 (0.002)	0.003 (0.003)			
Abortions <sub>jt</sub>		0.017 (0.018)	0.017 (0.018)			0.006 (0.032)	0.006 (0.032)
Religious Supporters <sub>jt</sub>		0.466 (0.394)	0.463 (0.398)			0.258 (0.286)	0.259 (0.289)
Education <sub>jt</sub>		-0.008* (0.005)	-0.008* (0.005)			0.001 (0.003)	0.001 (0.003)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	4,995	4,995	4,995	4,995	4,995	4,995	4,995
R-squared	0.730	0.731	0.747	0.770	0.020	0.020	0.098
Test Senate3 <sub>it</sub> × Republican <sub>it</sub> = Senate12 <sub>it</sub> × Republican <sub>it</sub> (p-value)	0.888	0.939	0.957	0.721	0.574	0.574	0.559

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level in columns 1-4 and senator level in columns 5-7. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro choice on vote  $v$  in year  $t$ . The sample covers the period 1997-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Regarding the auxiliary regressors, only the coefficient of the variable  $Male_i$  is significant. Its negative sign indicates that male senators are less likely to vote pro choice,

confirming previous findings in the literature on reproductive rights (Swers, 1998). Of the constituency characteristics, only  $Education_{jt}$  has a significant (negative) effect in the specification of column 2.<sup>44</sup>

Summing up, the results of Tables 1-3 confirm that election proximity has a pro-gun effect on Democratic senators and a pro-environment effect on Republican senators. As expected, senators' voting behavior on reproductive rights is instead unaffected by election proximity. These results are identified by comparing the behavior of different senators voting on the same legislation, as well as the behavior of individual senators voting on different legislations.

In our analysis so far, we have allowed the party affiliation variable to be time varying, given that a few senators in our sample changed from one party to the other (Ben Nighthorse Campbell, Jim Jeffords, Richard Shelby and Arlen Specter), while others switched from one of the parties to being independent (e.g. Joe Lieberman and Bernard Sanders). In Tables A-2-A-4 in the online Appendix, we have verified that the results of Tables 1-3 continue to hold if we drop from our sample the senators who switched parties. Compared to our benchmark regression, the main difference is that we can no longer identify differences in parties' stances on gun control, environment and reproductive rights in the specifications that include senator fixed effects (columns 5-7). However, the results confirm the first prediction of our theoretical model: only Democratic senators flip flop on gun control, becoming more pro gun as they approach re-election; only Republican senators flip flop on the environment, becoming "greener" as they approach re-election; and election proximity has no significant effect on the voting behavior of senators from either party when it comes to votes on reproductive rights.

## 5.2 Re-election motives

Having found strong support for the first prediction of our model, we now assess the validity of the second and third predictions. These can be seen as placebo tests for the idea that re-election motives – and the contrast with policy preferences – are the reason why some politicians flip-flop.

We first use variation in the voting behavior of retiring vs. non-retiring senators to verify whether re-election motives are the reason behind the flip-flopping documented

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<sup>44</sup>If we exclude the state fixed effects from the same specification, the coefficient of  $Education_{jt}$  becomes positive and significant (at the 1% level), the coefficient of  $Religious\ Supporters_{jt}$  becomes negative and significant (at the 10% level), while the coefficient of  $Abortions_{jt}$  remains insignificant.



in Table 1 (for Democrats voting on gun control) and Table 2 (for Republicans voting on environment). To assess the validity of Prediction 2, we focus on Democrats voting on gun regulations and Republicans voting on environmental regulations and estimate

$$\begin{aligned}
 Vote_{ijvt} = & \lambda_0 + \lambda_1 \text{Senate3}_{it} \times \text{Not Retiring}_{it} \\
 & + \lambda_2 \text{Senate12}_{it} \times \text{Retiring}_{it} + \lambda_3 \text{Senate3}_{it} \times \text{Retiring}_{it} \\
 & \lambda_5 \mathbf{X}_{it} + \lambda_3 \mathbf{W}_{jt} + \delta_j + \delta_t + \epsilon_{ijvt}.
 \end{aligned} \tag{10}$$

Our theoretical model suggests that  $\lambda_1$  should be positive and significant, as Democratic (Republican) senators seeking re-election should become more pro gun (environment), while  $\lambda_2$  should not be significantly different from  $\lambda_3$ .

Table 4

The impact of election proximity on Democrats voting on gun regulations, retiring senators

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × Not Retiring <sub>it</sub>	0.095** (0.037)	0.094** (0.035)	0.094** (0.035)	0.081* (0.045)	0.116** (0.044)	0.120*** (0.042)	0.119*** (0.042)
Senate3 <sub>it</sub> × Retiring <sub>it</sub>	-0.156 (0.156)	-0.175 (0.156)	-0.174 (0.156)	0.017 (0.041)	-0.121 (0.253)	-0.198 (0.242)	-0.196 (0.243)
Senate12 <sub>it</sub> × Retiring <sub>it</sub>	-0.013 (0.095)	-0.061 (0.090)	-0.059 (0.091)	-0.073 (0.058)	-0.056 (0.189)	-0.078 (0.182)	-0.075 (0.182)
Male <sub>i</sub>	0.036 (0.065)	0.047 (0.069)	0.049 (0.070)	0.071 (0.118)			
Age <sub>it</sub>	-0.004** (0.002)	-0.004* (0.002)	-0.004* (0.002)	-0.004 (0.003)			
Gun Magazine Subscriptions <sub>jt</sub>		0.013 (0.030)	0.013 (0.030)			-0.041 (0.026)	-0.040 (0.026)
Violent Crime Rate <sub>jt</sub>		0.000 (0.000)	0.000 (0.000)			0.001*** (0.000)	0.001*** (0.000)
Education <sub>jt</sub>		-0.036* (0.018)	-0.036* (0.018)			-0.053** (0.020)	-0.052** (0.020)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	725	725	725	725	725	725	725
R-squared	0.529	0.539	0.556	0.729	0.286	0.317	0.346
Test Senate3 <sub>it</sub> × Retiring <sub>it</sub> = Senate12 <sub>it</sub> × Retiring <sub>it</sub> (p-value)	0.256	0.377	0.378	0.091	0.708	0.487	0.484

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level in columns 1-4 and senator level in columns 5-7. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro gun on vote  $v$  in year  $t$ . The sample covers the period 1994-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Table 4 presents the results of the regressions on gun votes for Democratic senators.

We find strong support for Prediction 2, as retiring Democratic senators do not flip flop (see the test at the bottom of the table). The results also confirm Prediction 1: the coefficient on the interaction term  $Senate3_{it} \times Not\ Retiring_{it}$  is always positive and significant and indicates that Democratic senators seeking re-election are between 8 and 12 percentage points more likely to vote pro-gun at the end of their terms.

Moving to the behavior of Republican senators on environmental policy, the results of Table 5 show that only senators seeking re-election become “greener” at the end of their terms: the coefficient of the interaction term  $Senate3_{it} \times Not\ Retiring_{it}$  indicates that non-retiring senators are around 3 percentage points more likely to vote pro environment when they approach re-election. By contrast, retiring senators do not change their voting behavior during their terms (see the test at the bottom of the table).

**Table 5**  
The impact of election proximity on Republicans voting on environmental regulations, retiring senators

Dep. variable:	$Vote_{ijvt}$						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
$Senate3_{it} \times Not\ Retiring_{it}$	0.026*** (0.010)	0.026** (0.010)	0.026** (0.010)	0.022* (0.012)	0.028*** (0.009)	0.028*** (0.009)	0.028*** (0.009)
$Senate3_{it} \times Retiring_{it}$	-0.027 (0.025)	-0.024 (0.025)	-0.021 (0.024)	0.005 (0.047)	-0.006 (0.023)	-0.005 (0.023)	-0.004 (0.023)
$Senate12_{it} \times Retiring_{it}$	-0.024 (0.020)	-0.024 (0.020)	-0.024 (0.019)	0.010 (0.037)	-0.017 (0.018)	-0.016 (0.018)	-0.018 (0.018)
$Male_i$	-0.070* (0.040)	-0.063 (0.042)	-0.065 (0.043)	-0.067 (0.044)			
$Age_{it}$	-0.002* (0.001)	-0.002** (0.001)	-0.002** (0.001)	-0.001 (0.001)			
$Carbon\ Emissions_{jt}$		-0.016* (0.010)	-0.016* (0.009)			-0.010 (0.012)	-0.010 (0.011)
$Urban\ Population_{jt}$		-0.002 (0.004)	-0.001 (0.004)			-0.002 (0.003)	-0.002 (0.003)
$Education_{jt}$		-0.011** (0.005)	-0.010** (0.005)			-0.003 (0.003)	-0.003 (0.003)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State $\times$ Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	17,514	17,514	17,514	17,514	17,514	17,514	17,514
R-squared	0.258	0.260	0.396	0.354	0.039	0.039	0.223
Test $Senate3_{it} \times Retiring_{it} =$ $Senate12_{it} \times Retiring_{it}$ (p-value)	0.875	0.989	0.860	0.815	0.504	0.476	0.399

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level in columns 1-4 and senator level in columns 5-7. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro environment on vote  $v$  in year  $t$ . The sample covers the period 1971-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

The results of Tables 4 and 5 are robust to dropping from our sample senators who switched party. The results of Tables A-5 and A-6 confirm that, as they approach the end of their term, Democratic senators become more pro gun and Republican senators become more pro environment, but only if they are seeking re-election.

We next assess the validity of Prediction 3. To verify whether election proximity has no effect on the voting behavior of senators who hold safe seats, we estimate:

$$\begin{aligned}
Vote_{ijvt} = & \lambda_0 + \lambda_1 \text{Senate3}_{it} \times \text{No Safe Seat}_{it} \\
& + \lambda_2 \text{Senate12}_{it} \times \text{Safe Seat}_{it} + \lambda_3 \text{Senate3}_{it} \times \text{Safe Seat}_{it} \\
& \lambda_5 \mathbf{X}_{it} + \lambda_3 \mathbf{W}_{jt} + \delta_j + \delta_t + \epsilon_{ijvt}
\end{aligned} \tag{11}$$

According to our model,  $\lambda_1$  should be positive and significant, while  $\lambda_2$  should not be significantly different from  $\lambda_3$ .

**Table 6**  
The impact of election proximity on Democrats voting on gun regulations,  
safe seat senators

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × No Safe Seat <sub>it</sub>	0.091** (0.042)	0.087** (0.042)	0.087** (0.042)	0.099** (0.041)	0.122** (0.051)	0.121** (0.049)	0.120** (0.049)
Senate3 <sub>it</sub> × Safe Seat <sub>it</sub>	0.068 (0.092)	0.087 (0.091)	0.085 (0.091)	0.207 (0.187)	0.022 (0.093)	0.052 (0.090)	0.049 (0.090)
Senate12 <sub>it</sub> × Safe Seat <sub>it</sub>	0.052 (0.076)	0.045 (0.072)	0.042 (0.071)	0.204 (0.133)	-0.009 (0.098)	0.030 (0.093)	0.026 (0.093)
Male <sub>i</sub>	0.028 (0.076)	0.040 (0.080)	0.043 (0.081)	0.055 (0.124)			
Age <sub>it</sub>	-0.007** (0.003)	-0.006** (0.003)	-0.007** (0.003)	-0.006* (0.003)			
Gun Magazine Subscriptions <sub>jt</sub>		0.013 (0.034)	0.013 (0.034)			-0.045 (0.028)	-0.044 (0.028)
Violent Crime Rate <sub>jt</sub>		0.000 (0.000)	0.000 (0.000)			0.001*** (0.000)	0.001*** (0.000)
Education <sub>jt</sub>		-0.029 (0.023)	-0.029 (0.023)			-0.044** (0.019)	-0.044** (0.019)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	704	704	704	704	704	704	704
R-squared	0.519	0.528	0.546	0.728	0.286	0.314	0.344
Test Senate3 <sub>it</sub> × Safe Seat <sub>it</sub> = Senate12 <sub>it</sub> × Safe Seat <sub>it</sub> (p-value)	0.854	0.653	0.635	0.988	0.653	0.766	0.755

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level in columns 1-4 and senator level in columns 5-7. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro gun on vote  $v$  in year  $t$ . The sample covers the period 1994-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Table 7

The impact of election proximity on Republicans voting on environmental regulations,  
safe seats senators

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × No Safe Seat <sub>it</sub>	0.024** (0.009)	0.025*** (0.009)	0.025** (0.009)	0.020 (0.012)	0.029*** (0.008)	0.029*** (0.008)	0.029*** (0.008)
Senate3 <sub>it</sub> × Safe Seat <sub>it</sub>	0.036 (0.023)	0.037 (0.024)	0.037 (0.024)	0.013 (0.036)	0.005 (0.020)	0.006 (0.020)	0.007 (0.020)
Senate12 <sub>it</sub> × Safe Seat <sub>it</sub>	0.020 (0.015)	0.023 (0.014)	0.023 (0.014)	0.012 (0.035)	-0.002 (0.013)	-0.001 (0.014)	-0.001 (0.014)
Male <sub>i</sub>	-0.074* (0.037)	-0.066* (0.039)	-0.068 (0.040)	-0.067 (0.040)			
Age <sub>it</sub>	-0.002** (0.001)	-0.003*** (0.001)	-0.002*** (0.001)	-0.001 (0.001)			
Carbon Emissions <sub>jt</sub>		-0.011 (0.007)	-0.011 (0.007)			-0.011 (0.012)	-0.011 (0.011)
Urban Population <sub>jt</sub>		-0.002 (0.004)	-0.002 (0.004)			-0.002 (0.003)	-0.002 (0.003)
Education <sub>jt</sub>		-0.010** (0.005)	-0.010** (0.005)			-0.003 (0.003)	-0.003 (0.003)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	17,419	17,419	17,419	17,419	17,419	17,419	17,419
R-squared	0.260	0.261	0.396	0.355	0.039	0.039	0.222
Test Senate3 <sub>it</sub> × Safe Seat <sub>it</sub> = Senate12 <sub>it</sub> × Safe Seat <sub>it</sub> (p-value)	0.382	0.443	0.451	0.942	0.708	0.676	0.666

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level in columns 1-4 and senator level in columns 5-7. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro environment on vote  $v$  in year  $t$ . The sample covers the period 1994-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

The results of estimating (11) are reported in Tables 6 and 7. In line with Prediction 3, they show that Democratic (Republican) senators who hold safe seats do not flip-flop on gun control (environment): the p-value of the tests at the bottom of the tables is never significant. By contrast, politicians who were elected with smaller margins do change their voting behavior when they approach re-election, in line with Prediction 1: Democratic senators become more pro-gun (the coefficient of the  $Senate3_{it} \times No\ Safe\ Seat_{it}$  in Table 6 is always positive and significant), while Republican senators become greener (the coefficient of the  $Senate3_{it} \times No\ Safe\ Seat_{it}$  in Table 7 is positive and significant in all but one specification).

The results of Tables 6 and 7 are robust to dropping from our sample senators who switched party. The results of this robustness checks are reported in Tables A-7 and A-8 in the online Appendix. As they approach the end of their term, Democratic

(Republican) senators become more pro gun (environment), but only if they do not hold safe seats.<sup>45</sup>

### 5.3 Heterogeneous effects across states

In line with the first two predictions of our model, the results presented in the two sections above show that election proximity affects the voting behavior of senators on secondary policy issues. As expected, Democratic (Republican) senators who are seeking re-election are more likely to vote pro gun (pro environment) at the end of their terms, while no senator flip flops on reproductive rights votes.

We next assess the validity of the fourth prediction of our model: Democratic (Republican) senators should only flip flop on gun control (environment) when the size of the pro-gun (green) minority in their constituency is neither too small nor too large.

We consider first gun votes. When looking at Democratic senators in our sample, many are elected in states that are traditionally Democratic leaning, which have low levels of per capita subscriptions to gun magazines (e.g. California and New Jersey). However, others are elected in Democratic leaning states (e.g. Oregon or Vermont) and traditionally Republican leaning states (e.g. Montana and North Dakota) with high per capita subscriptions to gun magazines.

According to Prediction 4 of the model, Democratic senators should only flip flop on gun regulations when the size of the pro-gun minority in their constituency is of intermediate size; in the alternative scenarios in which the pro-gun minority is smaller (larger), they should always vote anti gun (pro gun). We would then expect an inverted U-shaped relationship between the probability that a Democratic senator flip flops and per capita subscriptions to gun magazines in his or her state. To verify this, we restrict again our sample to Democratic senators and interact the variable  $Senate\mathcal{I}_{it}$  with  $Gun\ magazine\ subscriptions_{jt}$  and its square term. Our theory suggests that the estimate for the linear term should be positive, while the square term should have a negative sign.

The results reported in Table 8 strongly support the fourth prediction of our model: the coefficient for the linear term is positive and significant, while the coefficient for the square term is negative and significant. The test at the bottom of the table indicates that  $Senate\mathcal{I}_{it}$  and the two interaction terms are jointly significant at 5%.

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<sup>45</sup>Our model suggests that the results of Tables 6 and 7 should continue to hold as long as the margin is large enough for senators not be concerned about losing office. We have also tried re-running (11) using a lower threshold (85th percentile) of  $Margin\ of\ Victory_{it}$  to define safe seats. Overall, the results continue to hold.

Table 8

The impact of election proximity on Democrats voting on gun regulations,  
by size of the pro-gun minority

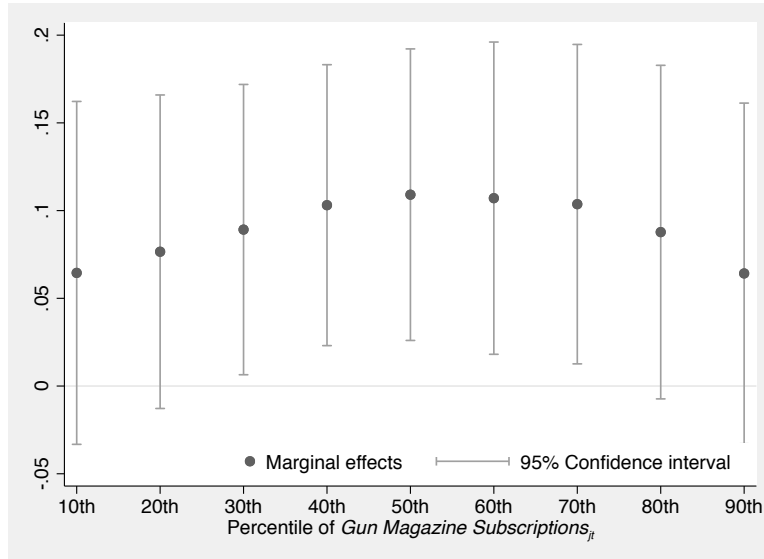
Dep. variable:	Vote <sub>ijvt</sub>		
	(1)	(2)	(3)
Senate3 <sub>it</sub>	-0.137 (0.138)	-0.135 (0.141)	-0.134 (0.142)
Senate3 <sub>it</sub> × Gun Magazine Subscriptions <sub>jt</sub>	0.047* (0.024)	0.049* (0.025)	0.049* (0.025)
Senate3 <sub>it</sub> × Gun Magazine Subscriptions <sub>jt</sub> <sup>2</sup>	-0.002** (0.001)	-0.002** (0.001)	-0.002** (0.001)
Gun Magazine Subscriptions <sub>jt</sub>	0.129** (0.061)	0.141** (0.062)	0.142** (0.062)
Gun Magazine Subscriptions <sub>jt</sub> <sup>2</sup>	-0.003** (0.001)	-0.004** (0.002)	-0.004** (0.002)
Male <sub>i</sub>	0.040 (0.066)	0.046 (0.069)	0.049 (0.070)
Age <sub>it</sub>	-0.006** (0.003)	-0.006** (0.002)	-0.006** (0.002)
Violent Crime Rate <sub>jt</sub>		0.001* (0.000)	0.001* (0.000)
Education <sub>jt</sub>		-0.027 (0.022)	-0.027 (0.022)
Year dummies	yes	yes	no
State dummies	yes	yes	yes
Vote dummies	no	no	yes
Observations	725	725	725
R-squared	0.529	0.540	0.557
Joint test for Senate3 <sub>it</sub> and interactions (p-value)	0.033	0.022	0.022

*Notes:* The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro gun on vote  $v$  in year  $t$ . The variable  $Gun\ magazine\ subscriptions_{jt}$  is the sum of subscriptions to *American Rifleman* and *American Hunter* per 1,000 inhabitants. The sample covers the period 1994-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Figure 1 provides a graphical representation of these results in based on the specification of column 2 of Table 8. This figure shows the marginal effects for Democratic senators belonging to  $Senate3_{it}$  for different percentiles of the distribution of gun magazine subscriptions. This allows us to illustrate how the impact of election proximity on senators' voting behavior varies with the size of the pro-gun minority in their constituency. Notice that the marginal effects are not significant for the lowest and highest percentiles of gun magazine subscriptions, confirming that election proximity has a pro-gun effect on Democratic senators only when the size of the pro-gun group in their constituency is of intermediate size.

Figure 1

The impact of election proximity on Democrats voting on gun regulations,  
by size of the pro-gun minority



The figure shows average marginal effects for  $Senate3_{it}$ , for various percentiles of  $Gun\ magazine\ subscriptions_{jt}$  (based on the estimates of column 3 in Table 8). Error bars are  $\pm 95\%$  confidence intervals.

We next examine whether the impact of election proximity on Republicans' voting behavior on environment depends on the size of the green minority in their constituency. To this purpose, we use data from List and Sturm (2006) on state-level membership in the three largest environmental organizations (Greenpeace, Friends of the Earth, and the Sierra Club). We then interact the dummy  $Senate3_{it}$  with the variable  $Membership\ in\ Green\ Organizations_j$  and its square term.

The results are reported in Table 9. In line with Prediction 3 of our model, the coefficient for the linear interaction term is positive and significant, while the coefficient for the square term is negative and significant. The test at the bottom of the table indicates that  $Senate3_{it}$  and the two interaction terms are jointly significant at 1%.

Figure 2 shows the marginal effects for Republican senators belonging to  $Senate3_{it}$  for different percentiles of membership in green groups, based on the specification of column 2 of Table 9. The marginal effects are only significant for intermediate percentiles, although the effect only becomes smaller and insignificant for the top percentiles of membership in green groups. The results confirm that election proximity has a "greening" effect on the voting behavior of Republican senators, but only when the green minority in their constituency is of intermediate size.

Table 9

The impact of election proximity on Republicans voting on environmental regulations,  
by size of the green minority

Dep. variable:	Vote <sub>ijvt</sub>		
	(1)	(2)	(3)
Senate3 <sub>it</sub>	-0.057* (0.031)	-0.056* (0.032)	-0.061* (0.033)
Senate3 <sub>it</sub> × Membership in Green Organizations <sub>j</sub>	0.145** (0.064)	0.142** (0.067)	0.157** (0.068)
Senate3 <sub>it</sub> × Membership in Green Organizations <sub>j</sub> <sup>2</sup>	-0.050* (0.028)	-0.049 (0.030)	-0.057* (0.031)
Male <sub>i</sub>	-0.076* (0.042)	-0.074* (0.044)	-0.077* (0.045)
Age <sub>it</sub>	-0.002** (0.001)	-0.003*** (0.001)	-0.003*** (0.001)
Carbon Emissions <sub>jt</sub>		-0.016 (0.011)	-0.015 (0.010)
Urban Population <sub>jt</sub>		-0.000 (0.004)	-0.000 (0.004)
Education <sub>jt</sub>		-0.011** (0.005)	-0.011** (0.005)
Year dummies	yes	yes	no
State dummies	yes	yes	yes
Vote dummies	no	no	yes
Observations	16,855	16,855	16,855
R-squared	0.259	0.260	0.394
Joint test for Senate3 <sub>it</sub> and interactions (p-value)	0.008	0.010	0.009

*Notes:* The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro environment on vote  $v$  in year  $t$ . The sample covers the period 1971-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

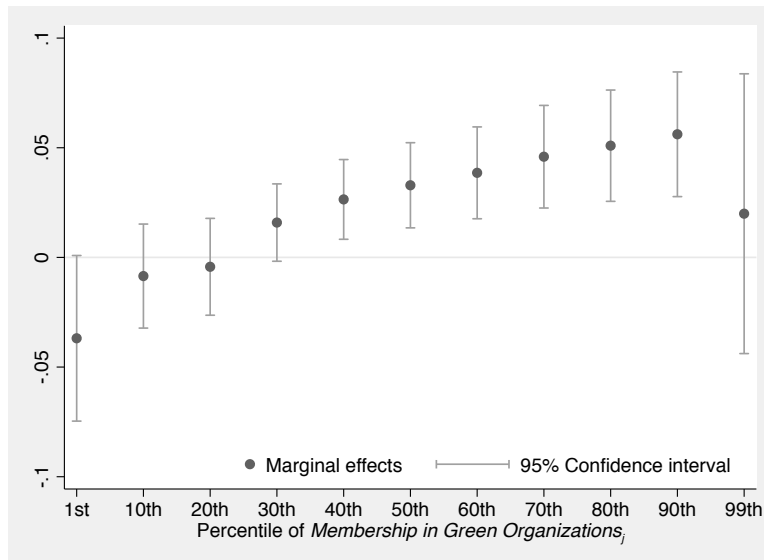
We have verified that the heterogeneous effect of election proximity across constituencies are not driven by senators who switched party. As it can be seen from Tables A-9 and A-10, even when dropping these senators, we find that the size of the single-issue minority has a clear non-monotonic effect on the probability that Democrats become more pro gun and Republicans become more pro environment as they approach re-election.

The fact that some Democratic senators in our data are elected in states with a large pro-gun group and that some Republican senators who are elected in states with a large green group allows us to identify the inverted U relationship between flip-flopping and the size of single-issue groups predicted by our theoretical model. If these legislators were not included in our sample of votes, we would only be able to identify the threshold of the size of the single-issue minority above which Democratic (Republican) senators start flip flopping on gun (environmental) regulations, but not the one above which they stop flip-flopping to instead always vote pro-gun (pro-environment).



Figure 2

The impact of election proximity on Republicans voting on environmental regulations,  
by size of the green minority



The figure shows average marginal effects for  $Senate3_{it}$ , for various percentiles of  $Membership\ in\ Green\ Organizations_j$  (based on the estimates of column 3 in Table 9). Error bars are  $\pm 95\%$  confidence intervals.

## 6 Conclusions

In this paper, we have shown that minorities of single-issue voters can shape politicians' choices on the issues that are salient to them. The key idea is that, when it comes to secondary issues like gun control, environment and reproductive rights, office-motivated politicians are only accountable to minorities of voters who care intensely about these issues, knowing that the rest of the electorate will decide whether or not to re-elect them based on their stance on other policy issues.

To capture this idea, we have described a simple model in which office and policy motivated politicians are called to support or oppose regulations on gun control, environment and reproductive rights during their terms in office. In this model, politicians might flip flop, voting according to their preferences at the beginning of their terms and in line with the preferences of single-issue minorities at the end of their terms. Election proximity should affect politicians' choices on gun control and environment, policy issues dominated by strong minorities on one side (pro-gun and pro-environment). In particular, as they approach re-election, Democratic (Republican) politicians should become more pro gun (pro environment). Election proximity should have no impact on

the choices of Republican (Democratic) politicians on gun control (environment), since they do not face a conflict between their policy preferences (or those of their party) and their re-election motives. Similarly, Republican and Democratic politicians should not flip flop on reproductive rights, a secondary issue characterized by strong minorities on both sides (pro-choice and pro-life). The model also predicts that the effects of election proximity should only arise for senators who are not retiring, do not hold safe seats, and represent states in which the single-issue minority is of intermediate size.

To assess the validity of these predictions, we have studied the voting behavior of U.S. senators on legislation related to gun control, environment, and reproductive rights. The staggered structure of the U.S. Senate, in which members serve six-year terms and one third is up for re-election every two years, allows to compare the voting behavior of different generations of senators, depending on how close they are to facing re-election. We obtain three main results. First, as they approach re-election, Democratic senators are more likely to vote pro gun, while Republican senators are more likely to vote in favor of environmental regulations. As expected, election proximity has no effect on senators' voting behavior on reproductive rights. Second, Democratic (Republican) senators flip flop on gun control (environment), but only if they are seeking re-election (i.e. not retiring). Finally, we find evidence of heterogeneous effects across states: election proximity only affects the voting behavior of Democratic (Republican) senators when the pro-gun (pro-environment) group in their constituency is neither too small nor too large. Our results are robust to including a rich set of controls for legislators and their constituencies, and exploiting variation both across and within senators.

These findings highlight that politicians systematically respond to the interests of different single-issue voters on different secondary policy issues. The influence of these voters across several issues gives credence to the argument that multidimensionality of the policy space does not necessarily impair electoral accountability. Because single-issue voters see the policy space as unidimensional, they can use voting to punish and reward politicians for specific policies, thereby keeping them in check. Instead of a tyranny of the majority, democracies may thus be afflicted by a tyranny of the single-minded.

Our analysis suggests that U.S. congressmen's choices on secondary issues may often diverge from what the majority of American citizens want. As stressed in the introduction, a clear example of this gap is the failure of the Senate to pass even mild gun regulations, which are supported by the overwhelming majority of the electorate. One might expect to see policy outcomes that reflect the preferences of the median voter in

the sixteen U.S. states that allow for direct initiatives.<sup>46</sup> However, there are at least three reasons to believe that the outcome of such initiatives may not always coincide with the preferences of the majority of voters. First, there may be a bias in terms of which propositions end up on the ballot. This is because organizing initiatives is very costly in terms of both time and money, and single-issue voters may be more willing to incur such costs.<sup>47</sup> Second, direct initiatives are likely to suffer from a bias in turnout, if single-issue voters are more willing to incur the costs of voting (e.g. spending time to register, rearranging work schedules, getting to the polls, and gathering information on the candidates). Finally, initiatives often suffer from framing effects.<sup>48</sup>

An important avenue for future research is to understand how voters' preference intensity affects the role of lobby groups. The existing literature has emphasized various channels through which lobbies may affect policy outcomes, e.g. by offering campaign contributions to incumbent politicians (Grossman and Helpman, 1994), pledging the votes of their members (Bombardini and Trebbi, 2011), and making it easier for special interests to have access to politicians and providing issue-specific information to politicians (Blanes-i-Vidal *et al.*, 2012; Bertrand *et al.*, 2014). Our results suggest that the power of single-issue lobby groups rests in the intensity of their members' preferences.<sup>49</sup> These organizations can play a key role, allowing single-issue voters to keep politicians accountable: they provide information to their members about politicians' choices on their key issue of interest; and they remind politicians that their members are willing to cast their votes based on this issue alone.

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<sup>46</sup>The direct initiative process allows ordinary citizens to draft a petition in the form of a legislative bill or constitutional amendment. If the petition receives sufficient popular support, the measure is then placed directly on a ballot, without the need to first submit it to the legislature.

<sup>47</sup>Organizing an initiative is a complex legal process, involving several steps: 1) preliminary filing of a proposed petition with a designated state official; 2) review of the petition for conformance with statutory requirements and, in several states, a review of the language of the proposal; 3) preparation of a ballot title and summary; 4) circulation of the petition to obtain the required number of signatures of registered voters, usually a percentage of the votes cast for a statewide office in the preceding general election; and 5) submission of the petition to the state officials, who must verify the number of signatures. Organizing a successful initiative is also financially very costly, since it usually requires hiring specialized firms to run opinion polls before drafting the petition and to collect the required number of signatures.

<sup>48</sup>See "Gun safety versus gun control," *The Economist*, January 24, 2013.

<sup>49</sup>This is, for example, what was argued about the NRA in a recent article on the *New York Times* ("The True Source of the N.R.A.'s Clout: Mobilization, Not Donations," February 24, 2018).

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# Online Appendix

## A-1 Theoretical Appendix

First, it is useful to prove the following four lemmas.

**Lemma 1** *The strategy  $(s_1^*, s_2^*) = (0, 1)$  is an equilibrium iff*

$$\begin{aligned} \gamma\chi^2(n_p\alpha_p - n_a\alpha_a) &\geq \theta(\omega(0, 0) - \omega(0, 1)), \\ n_p\alpha_p - n_a\alpha_a &\geq 0, \text{ and} \\ \theta(\omega(0, 1) - \omega(1, 1)) &\geq \gamma\chi^1(n_p\alpha_p - n_a\alpha_a). \end{aligned}$$

*These conditions cannot be satisfied for a pro-issue incumbent.*

**Proof.** We have that  $U(0, 1) \geq U(0, 0)$  iff

$$\frac{1}{2} + \gamma \sum_j n_j \left( \sum_t \chi^t W_j^t(0, 1) - \bar{u}_j \right) + \theta(\omega(0, 1)) \geq \frac{1}{2} + \gamma \sum_j n_j \left( \sum_t \chi^t W_j^t(0, 0) - \bar{u}_j \right) + \theta(\omega(0, 0)).$$

This boils down to

$$\gamma(-n_p\alpha_p\chi^1 - n_a\alpha_a\chi^2) + \theta(\omega(0, 1)) \geq \gamma(-n_p\alpha_p\chi_p^1 - n_p\alpha_p\chi_p^2) + \theta(\omega(0, 0))$$

and thus

$$\gamma\chi^2(n_p\alpha_p - n_a\alpha_a) \geq \theta(\omega(0, 0) - \omega(0, 1)).$$

Similarly, we have that  $U(0, 1) \geq U(1, 0)$  iff

$$\gamma(-n_p\alpha_p\chi^1 - n_a\alpha_a\chi^2) + \theta(\omega(0, 1)) \geq \gamma(-n_p\alpha_p\chi^2 - n_a\alpha_a\chi^1) + \theta(\omega(1, 0)),$$

which boils down to

$$\gamma(\chi^2 - \chi^1)(n_p\alpha_p - n_a\alpha_a) \geq \theta(\omega(1, 0) - \omega(0, 1)).$$

Since,  $\omega(1, 0) = \omega(0, 1)$  and  $(\chi^2 > \chi^1)$ , this is satisfied iff

$$n_p\alpha_p > n_a\alpha_a.$$

Finally,  $U(0, 1) \geq U(1, 1)$  iff

$$\gamma(-n_p\alpha_p\chi^1 - n_a\alpha_a\chi^2) + \theta(\omega(0, 1)) \geq \gamma(-n_a\alpha_a\chi^1 - n_a\alpha_a\chi^2) + \theta(\omega(1, 1)),$$

which simplifies to

$$\theta(\omega(0, 1) - \omega(1, 1)) \geq \gamma\chi^1(n_p\alpha_p - n_a\alpha_a).$$

To prove that these conditions cannot be satisfied for a pro-issue incumbent, first note that  $\theta(\omega_p(0, 1) - \omega_p(1, 1)) < 0$ . This directly implies that  $\theta(\omega(0, 1) - \omega(1, 1)) \geq \gamma\chi^1(n_p\alpha_p - n_a\alpha_a)$  is not compatible with  $n_p\alpha_p - n_a\alpha_a \geq 0$ .

**Lemma 2** *The strategy  $(s_1^*, s_2^*) = (1, 0)$  is an equilibrium iff*

$$\begin{aligned} \gamma\chi^1(n_p\alpha_p - n_a\alpha_a) &\geq \theta(\omega(0, 0) - \omega(1, 0)), \\ n_p\alpha_p - n_a\alpha_a &\leq 0, \text{ and} \\ \theta(\omega(1, 0) - \omega(1, 1)) &\geq \gamma\chi^2(n_p\alpha_p - n_a\alpha_a). \end{aligned}$$

*These conditions cannot be satisfied for an anti-issue incumbent.*

**Proof.** Similar to the proof of Lemma 1.

**Lemma 3** *The strategy  $(s_1^*, s_2^*) = (0, 0)$  is an equilibrium iff*

$$\begin{aligned} \theta(\omega(0, 0) - \omega(0, 1)) &\geq \gamma\chi^2(n_p\alpha_p - n_a\alpha_a), \\ \theta(\omega(0, 0) - \omega(1, 0)) &\geq \gamma\chi^1(n_p\alpha_p - n_a\alpha_a), \text{ and} \\ \theta(\omega(0, 0) - \omega(1, 1)) &\geq \gamma(\chi^1 + \chi^2)(n_p\alpha_p - n_a\alpha_a). \end{aligned}$$

**Proof.** Similar to the proof of Lemma 1.

**Lemma 4** *The strategy  $(s_1^*, s_2^*) = (1, 1)$  is an equilibrium iff*

$$\begin{aligned} \gamma\chi^1(n_a\alpha_a - n_p\alpha_p) &\leq \theta(\omega(1, 1) - \omega(0, 1)), \\ \gamma\chi^2(n_p\alpha_p - n_a\alpha_a) &\geq \theta(\omega(1, 0) - \omega(1, 1)), \text{ and} \\ \theta(\omega(0, 0) - \omega(1, 1)) &\leq \gamma(\chi^1 + \chi^2)(n_p\alpha_p - n_a\alpha_a). \end{aligned}$$

**Proof.** Similar to the proof of Lemma 1.

We can now move to the proofs of our main results.

**Proof of Proposition 1.**

For the anti-issue incumbent, we have

$$\begin{aligned}\omega_a(0,0) - \omega_a(1,1) &> \omega_a(0,0) - \omega_a(0,1) > 0, \text{ and} \\ \omega_a(0,1) - \omega_a(1,1) &= \omega_a(1,0) - \omega_a(1,1) > 0.\end{aligned}$$

Thus, from Lemma 3, we obtain that  $(0,0)$  is an equilibrium iff

$$\theta(\omega_a(0,0) - \omega_a(0,1)) \geq \gamma\chi^2(n_p\alpha_p - n_a\alpha_a), \text{ and} \tag{A-1}$$

$$\theta(\omega_a(0,0) - \omega_a(1,1)) \geq \gamma(\chi^1 + \chi^2)(n_p\alpha_p - n_a\alpha_a). \tag{A-2}$$

From Lemma 1, we obtain that  $(0,1)$  is an equilibrium iff

$$\gamma\chi^2(n_p\alpha_p - n_a\alpha_a) \geq \theta(\omega_a(0,0) - \omega_a(0,1)), \text{ and} \tag{A-3}$$

$$\theta(\omega(0,1) - \omega(1,1)) \geq \gamma\chi^1(n_p\alpha_p - n_a\alpha_a). \tag{A-4}$$

From Lemma 2, we have that  $(1,0)$  is never an equilibrium. Indeed,  $\gamma\chi^1(n_p\alpha_p - n_a\alpha_a) \geq \theta(\omega_a(0,0) - \omega_a(1,0))$  cannot be satisfied when  $n_p\alpha_p - n_a\alpha_a \leq 0$ .

From Lemma 4, we obtain that  $(1,1)$  is an equilibrium iff

$$\gamma\chi^1(n_p\alpha_p - n_a\alpha_a) \geq \theta(\omega_a(0,1) - \omega_a(1,1)), \text{ and} \tag{A-5}$$

$$\gamma(\chi^1 + \chi^2)(n_p\alpha_p - n_a\alpha_a) \geq \theta(\omega_a(0,0) - \omega_a(1,1)). \tag{A-6}$$

We thus have that the behavior of an anti-issue incumbent is always uniquely defined. Indeed, condition (A-1) contradicts condition (A-3), condition (A-4) contradicts condition (A-5), and condition (A-2) contradicts condition (A-6).

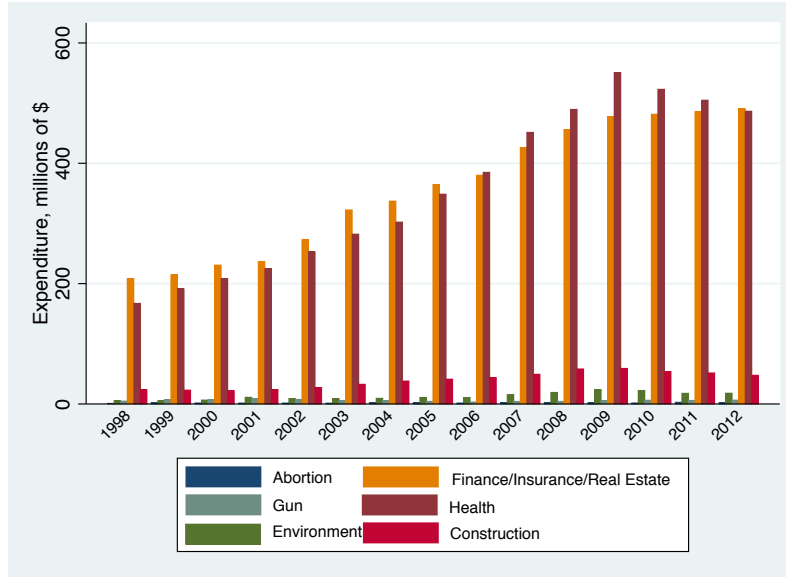
For  $(n_p\alpha_p - n_a\alpha_a) = \Delta^h \geq \max\left\{\frac{\theta(\omega_a(0,1) - \omega_a(1,1))}{\gamma\chi^1}, \frac{\theta(\omega_a(0,0) - \omega_a(1,1))}{\gamma(\chi^1 + \chi^2)}\right\}$ ,  $(1,1)$  is the equilibrium. For  $\Delta^h \leq \max\left\{\frac{\theta(\omega_a(0,0) - \omega_a(0,1))}{\gamma\chi^2}, \frac{\theta(\omega_a(0,0) - \omega_a(1,1))}{\gamma(\chi^1 + \chi^2)}\right\}$ ,  $(0,0)$  is the equilibrium. Flip-flopping occurs for intermediate values of  $\Delta^h$ , i.e. when  $\Delta^h \in \left(\frac{\theta(\omega_a(0,0) - \omega_a(0,1))}{\gamma\chi^2}, \frac{\theta(\omega(0,1) - \omega(1,1))}{\gamma\chi^1}\right)$ .

**Proof of Proposition 2.** Similar to the proof of Proposition 1.

**Proof of Proposition 3.** Follows immediately from equation (5).

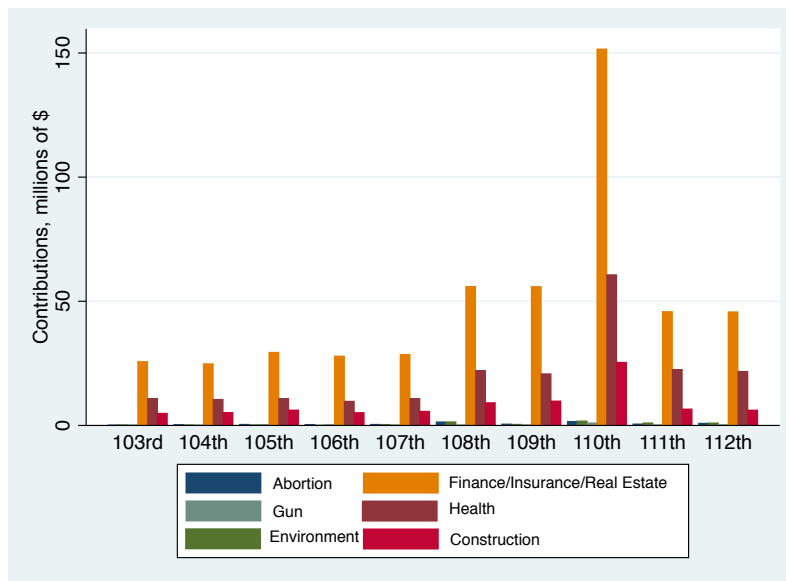
## A-2 Empirical Appendix

Figure A-1  
Lobbying expenditures on different policy issues



The figure reports yearly lobbying expenditures on six different policy issues. Data are available from 1998, following the Lobbying Disclosure Act (1995). The data come from the Center for Responsive Politics (<http://www.opensecrets.org>).

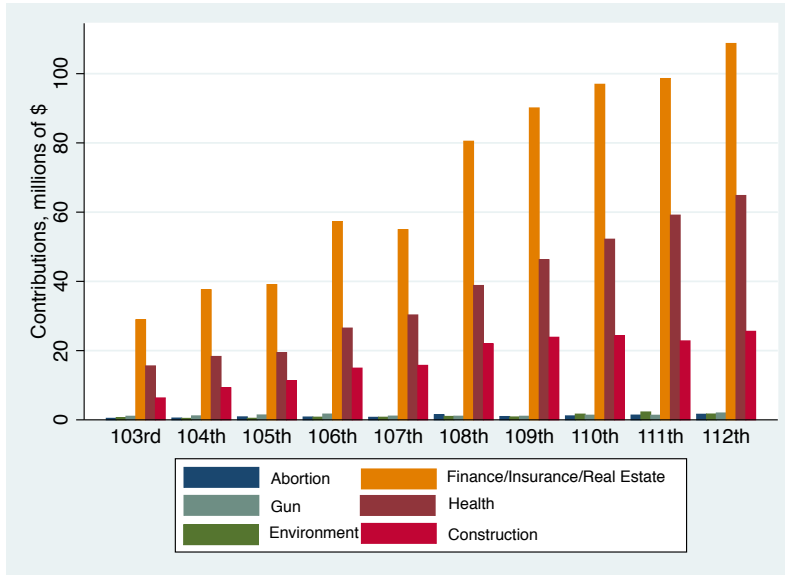
Figure A-2  
Campaign contributions received by Senate members for different policy issues



The figure reports campaign contributions to U.S. Senators on six different policy issues during the 103<sup>rd</sup>-112<sup>th</sup> Congresses. The data come from the Center for Responsive Politics (<http://www.opensecrets.org>) and include both contributions from political action committees (PACs) and from individuals, mapped to industries based on the name of the PAC or the occupation/employer of the individual donor.

Figure A-3

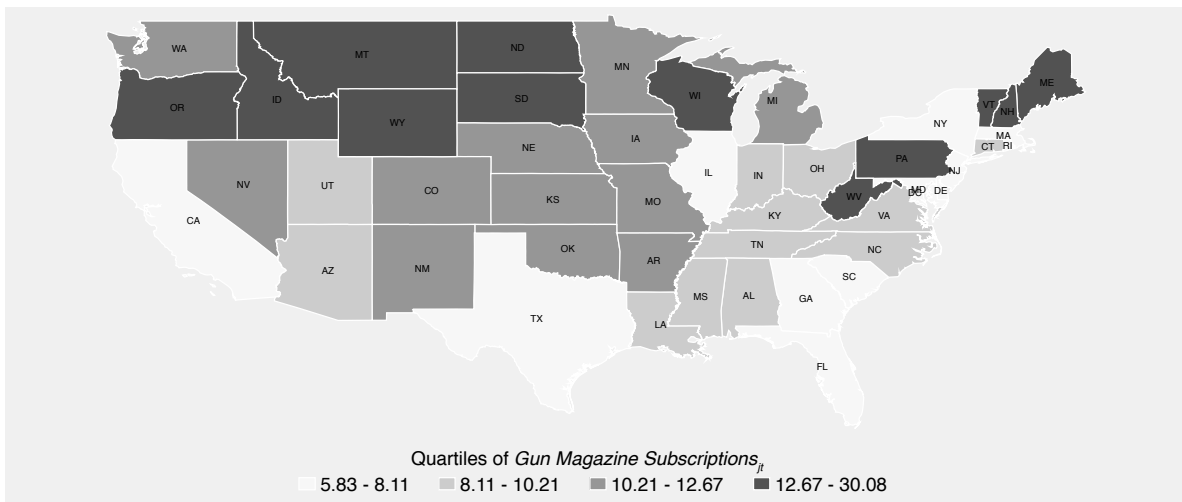
Campaign contributions received by House members for different policy issues



The figure reports campaign contributions to U.S. House representatives on six different policy issues during the 103<sup>rd</sup>-112<sup>th</sup> Congresses. The data come from the Center for Responsive Politics (<http://www.opensecrets.org>) and include both contributions from political action committees (PACs) and from individuals, mapped to industries based on the name of the PAC or the occupation/employer of the individual donor.

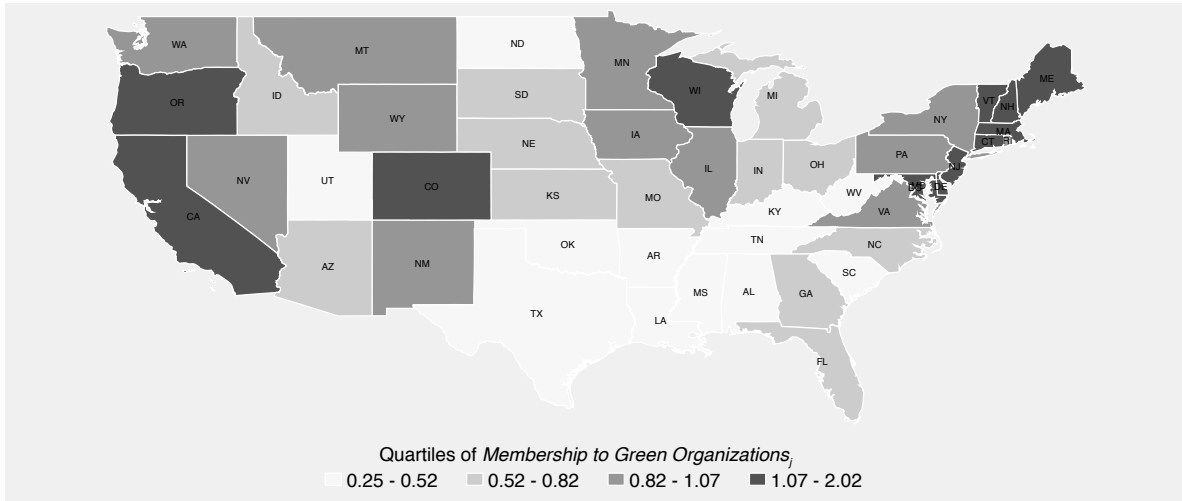
Figure A-4

Subscriptions to gun magazines



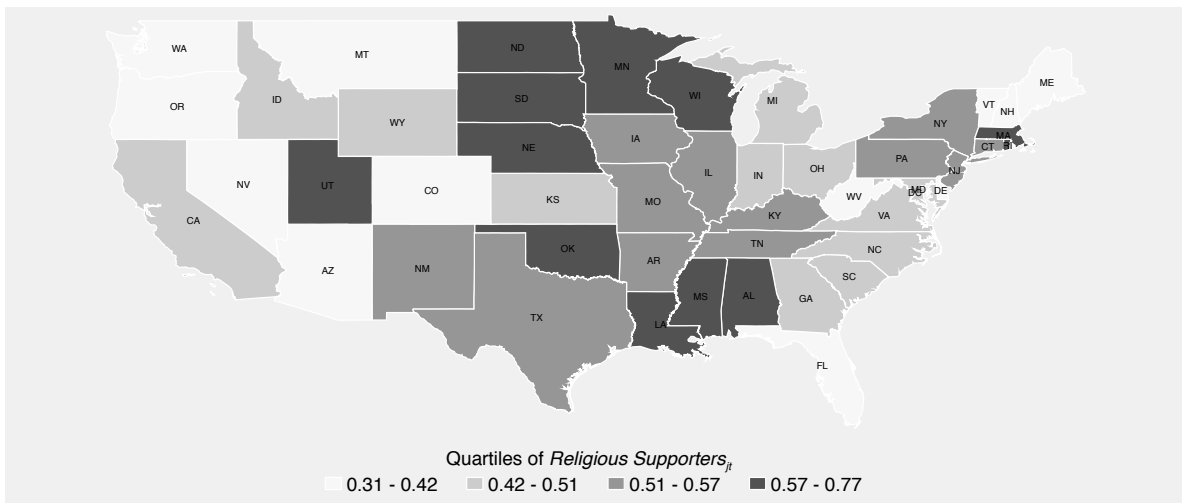
The figure shows quartiles of the average number of subscriptions to *American Rifleman* and *American Hunter* magazines per 1,000 inhabitants for the 48 contiguous U.S. states, over the period covered by GOA votes (1994-2012).

Figure A-5  
Membership in green organizations



The figure shows quartiles of the percentage of the population with membership to Greenpeace, Sierra Club and the National Wildlife Federation in 1987 for the 48 contiguous U.S. states. The data come from List and Sturm (2006).

Figure A-6  
Religious supporters



The figure shows quartiles of the variable *Religious Supporters*<sub>jt</sub> for the 48 contiguous U.S. states, over the period covered by NRLC votes (1997-2012).

Table A-1  
Descriptive Statistics

Panel A: Gun control					
	mean	sd. dev.	min.	max.	N
Vote <sub>ijvt</sub> = 1 if senator voted pro-gun	0.58	0.49	0.00	1.00	1,460
Senate3 <sub>it</sub>	0.34	0.47	0.00	1.00	1,460
Republican <sub>it</sub>	0.50	0.50	0.00	1.00	1,460
Male <sub>i</sub>	0.88	0.33	0.00	1.00	1,460
Age <sub>it</sub>	61.04	10.01	39.00	97.00	1,460
Gun Magazine Subscriptions <sub>jt</sub>	10.74	5.14	3.38	32.70	1,460
Violent Crime Rate <sub>jt</sub>	423.49	193.12	66.90	961.40	1,460
Education <sub>jt</sub>	25.55	4.79	15.90	40.40	1,460
Panel B: Environment					
	mean	sd. dev.	min.	max.	N
Vote <sub>ijvt</sub> = 1 if senator voted pro-environment	0.50	0.50	0.00	1.00	37,277
Senate3 <sub>it</sub>	0.33	0.47	0.00	1.00	37,277
Republican <sub>it</sub>	0.47	0.50	0.00	1.00	37,277
Male <sub>i</sub>	0.93	0.25	0.00	1.00	37,277
Age <sub>it</sub>	58.38	10.37	31.00	100.00	37,277
Membership in Green Organizations <sub>jt</sub>	0.85	0.36	0.25	2.02	35,830
Carbon Emissions <sub>jt</sub>	2.45	1.73	0.49	13.31	37,277
Urban Population <sub>jt</sub>	68.60	14.43	32.20	94.44	37,277
Education <sub>jt</sub>	20.64	6.64	7.11	40.40	37,277
Panel C: Abortion					
	mean	sd. dev.	min.	max.	N
Vote <sub>ijvt</sub> = 1 if senator voted pro-choice	0.49	0.50	0.00	1.00	4,995
Senate3 <sub>it</sub>	0.34	0.47	0.00	1.00	4,995
Republican <sub>it</sub>	0.51	0.50	0.00	1.00	4,995
Male <sub>i</sub>	0.87	0.34	0.00	1.00	4,995
Age <sub>it</sub>	60.88	10.03	39.00	100.00	4,995
Abortions <sub>jt</sub>	3.38	1.83	0.14	9.20	4,995
Religious Supporters <sub>jt</sub>	0.50	0.10	0.28	0.79	4,995
Education <sub>jt</sub>	25.74	4.87	14.60	40.40	4,995

See Section 4 for definitions and sources. Panel A reports descriptive statistics for all variables included in regressions on gun control (Tables 1, 4 and 8). Panel B reports descriptive statistics for all variables included in regressions on environment (Tables 2, 5 and 9). Panel C reports descriptive statistics for all variables included in regressions on abortion (Table 3). In Panel B, the variable *Membership in Green Organizations<sub>j</sub>* is not available for Alaska and Hawaii.



Table A-2

The impact of election proximity on votes on gun regulations,  
party differences, dropping party switchers

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × Democrat <sub>it</sub>	0.069* (0.038)	0.070* (0.038)	0.068* (0.038)	0.083* (0.042)	0.103** (0.045)	0.103** (0.043)	0.099** (0.043)
Senate3 <sub>it</sub> × Republican <sub>it</sub>	0.468*** (0.050)	0.464*** (0.050)	0.463*** (0.051)	0.474*** (0.068)	0.033 (0.025)	0.028 (0.025)	0.028 (0.025)
Senate12 <sub>it</sub> × Republican <sub>it</sub>	0.439*** (0.046)	0.438*** (0.047)	0.438*** (0.047)	0.450*** (0.064)			
Male <sub>i</sub>	0.085 (0.054)	0.085 (0.052)	0.087 (0.052)	0.047 (0.058)			
Age <sub>it</sub>	-0.003* (0.002)	-0.003* (0.002)	-0.004* (0.002)	-0.003 (0.003)			
Gun Magazine Subscriptions <sub>jt</sub>		0.018 (0.015)	0.018 (0.015)			-0.009 (0.014)	-0.009 (0.014)
Violent Crime Rate <sub>jt</sub>		0.000 (0.000)	0.000 (0.000)			0.001*** (0.000)	0.001*** (0.000)
Education <sub>jt</sub>		-0.010 (0.011)	-0.010 (0.011)			-0.022* (0.011)	-0.021* (0.011)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	1,413	1,413	1,413	1,413	1,413	1,413	1,413
R-squared	0.596	0.599	0.645	0.702	0.221	0.229	0.336
Test Senate3 <sub>it</sub> × Republican <sub>it</sub> = Senate12 <sub>it</sub> × Republican <sub>it</sub> (p-value)	0.151	0.200	0.203	0.423			

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the senator level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro gun on vote  $v$  in year  $t$ . The sample covers the period 1994-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Table A-3

The impact of election proximity on votes on environmental regulations party differences', dropping party switchers

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × Democrat <sub>it</sub>	-0.004 (0.007)	-0.003 (0.007)	-0.003 (0.007)	-0.004 (0.008)	-0.002 (0.007)	-0.002 (0.007)	-0.001 (0.007)
Senate3 <sub>it</sub> × Republican <sub>it</sub>	-0.369*** (0.022)	-0.372*** (0.023)	-0.372*** (0.023)	-0.375*** (0.027)	0.013 (0.008)	0.013 (0.008)	0.014* (0.008)
Senate12 <sub>it</sub> × Republican <sub>it</sub>	-0.391*** (0.023)	-0.393*** (0.023)	-0.394*** (0.023)	-0.392*** (0.028)			
Male <sub>i</sub>	-0.071** (0.031)	-0.069** (0.030)	-0.070** (0.030)	-0.043 (0.031)			
Age <sub>it</sub>	-0.002** (0.001)	-0.002** (0.001)	-0.002** (0.001)	-0.001 (0.001)			
Carbon Emissions <sub>jt</sub>		-0.017* (0.008)	-0.016* (0.008)			-0.009 (0.007)	-0.009 (0.007)
Urban Population <sub>jt</sub>		0.003 (0.004)	0.003 (0.004)			0.002 (0.003)	0.002 (0.003)
Education <sub>jt</sub>		-0.012** (0.005)	-0.012** (0.005)			-0.003 (0.003)	-0.003 (0.003)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	36,561	36,561	36,561	36,561	36,561	36,561	36,561
R-squared	0.360	0.362	0.423	0.439	0.021	0.021	0.124
Test Senate3 <sub>it</sub> × Republican <sub>it</sub> = Senate12 <sub>it</sub> × Republican <sub>it</sub> (p-value)	0.009	0.012	0.011	0.084			

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the senator level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro environment on vote  $v$  in year  $t$ . The sample covers the period 1971-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Table A-4  
The impact of election proximity on votes on reproductive rights,  
party differences, dropping party switchers

Dep. variable:	$Vote_{ijvt}$						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × Democrat <sub>it</sub>	-0.027 (0.021)	-0.027 (0.021)	-0.027 (0.021)	-0.028 (0.025)	-0.000 (0.012)	0.000 (0.012)	0.000 (0.012)
Senate3 <sub>it</sub> × Republican <sub>it</sub>	-0.767*** (0.047)	-0.767*** (0.047)	-0.767*** (0.047)	-0.780*** (0.058)	0.000 (0.008)	0.000 (0.008)	0.000 (0.008)
Senate12 <sub>it</sub> × Republican <sub>it</sub>	-0.763*** (0.047)	-0.763*** (0.047)	-0.763*** (0.047)	-0.769*** (0.057)			
Male <sub>i</sub>	-0.092** (0.035)	-0.092** (0.036)	-0.092** (0.036)	-0.089* (0.047)			
Age <sub>it</sub>	0.000 (0.001)	0.000 (0.001)	0.000 (0.001)	0.001 (0.001)			
Abortions <sub>jt</sub>		0.017 (0.017)	0.017 (0.017)			0.003 (0.032)	0.003 (0.032)
Religious Supporters <sub>jt</sub>		0.365 (0.405)	0.362 (0.409)			0.274 (0.283)	0.274 (0.286)
Education <sub>jt</sub>		-0.005 (0.004)	-0.005 (0.004)			0.001 (0.003)	0.001 (0.003)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	4,914	4,914	4,914	4,914	4,914	4,914	4,914
R-squared	0.752	0.753	0.769	0.791	0.018	0.018	0.095
Test Senate3 <sub>it</sub> × Republican <sub>it</sub> = Senate12 <sub>it</sub> × Republican <sub>it</sub> (p-value)	0.742	0.711	0.693	0.473			

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the senator level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro choice on vote  $v$  in year  $t$ . The sample covers the period 1997-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Table A-5

The impact of election proximity on Democrats voting on gun regulations,  
retiring senators, dropping party switchers

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × Not Retiring <sub>it</sub>	0.097** (0.037)	0.097*** (0.036)	0.097*** (0.036)	0.086* (0.045)	0.116** (0.045)	0.120*** (0.042)	0.119*** (0.042)
Senate3 <sub>it</sub> × Retiring <sub>it</sub>	-0.180 (0.165)	-0.204 (0.165)	-0.203 (0.166)	0.009 (0.044)	-0.121 (0.253)	-0.197 (0.242)	-0.196 (0.243)
Senate12 <sub>it</sub> × Retiring <sub>it</sub>	-0.018 (0.096)	-0.067 (0.092)	-0.064 (0.093)	-0.069 (0.057)	-0.057 (0.189)	-0.078 (0.182)	-0.075 (0.182)
Male <sub>i</sub>	0.033 (0.064)	0.045 (0.068)	0.047 (0.069)	0.067 (0.116)			
Age <sub>it</sub>	-0.004* (0.002)	-0.003 (0.002)	-0.003 (0.002)	-0.004 (0.003)			
Gun Magazine Subscriptions <sub>jt</sub>		0.013 (0.030)	0.013 (0.030)			-0.040 (0.026)	-0.040 (0.026)
Violent Crime Rate <sub>jt</sub>		0.000 (0.000)	0.000 (0.000)			0.001*** (0.000)	0.001*** (0.000)
Education <sub>jt</sub>		-0.037** (0.018)	-0.037** (0.018)			-0.052** (0.020)	-0.052** (0.020)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	719	719	719	719	719	719	719
R-squared	0.529	0.539	0.556	0.731	0.286	0.318	0.346
Test Senate3 <sub>it</sub> × Retiring <sub>it</sub> = Senate12 <sub>it</sub> × Retiring <sub>it</sub> (p-value) <sup>a</sup>	0.208	0.297	0.299	0.170	0.708	0.488	0.485

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the senator level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro environment on vote  $v$  in year  $t$ . The sample covers the period 1997-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Table A-6

The impact of election proximity on Republicans voting on environmental regulations,  
retiring senators, dropping party switchers

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × Not Retiring <sub>it</sub>	0.026** (0.010)	0.026** (0.010)	0.026** (0.010)	0.026** (0.013)	0.027*** (0.009)	0.027*** (0.009)	0.028*** (0.009)
Senate3 <sub>it</sub> × Retiring <sub>it</sub>	-0.021 (0.026)	-0.017 (0.025)	-0.015 (0.025)	0.015 (0.039)	-0.006 (0.024)	-0.004 (0.024)	-0.003 (0.024)
Senate12 <sub>it</sub> × Retiring <sub>it</sub>	-0.018 (0.020)	-0.018 (0.021)	-0.019 (0.020)	0.017 (0.034)	-0.018 (0.018)	-0.016 (0.018)	-0.018 (0.018)
Male <sub>i</sub>	-0.071* (0.039)	-0.064 (0.041)	-0.066 (0.042)	-0.059 (0.041)			
Age <sub>it</sub>	-0.002** (0.001)	-0.002** (0.001)	-0.002** (0.001)	-0.003* (0.002)			
Carbon Emissions <sub>jt</sub>		-0.015 (0.010)	-0.014 (0.010)			-0.010 (0.012)	-0.010 (0.011)
Urban Population <sub>jt</sub>		-0.001 (0.004)	-0.001 (0.004)			-0.002 (0.004)	-0.002 (0.004)
Education <sub>jt</sub>		-0.013** (0.005)	-0.013** (0.005)			-0.003 (0.003)	-0.003 (0.003)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	16,944	16,944	16,944	16,944	16,944	16,944	16,944
R-squared	0.257	0.258	0.397	0.355	0.040	0.040	0.226
Test Senate3 <sub>it</sub> × Retiring <sub>it</sub> = Senate12 <sub>it</sub> × Retiring <sub>it</sub> (p-value) <sup>a</sup>	0.895	0.960	0.806	0.912	0.511	0.475	0.395

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the senator level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro environment on vote  $v$  in year  $t$ . The sample covers the period 1997-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Table A-7

The impact of election proximity on Democrats voting on gun regulations,  
safe seat senators, dropping party switchers

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × No Safe Seat <sub>it</sub>	0.092** (0.043)	0.087** (0.042)	0.087** (0.042)	0.102** (0.041)	0.122** (0.051)	0.121** (0.049)	0.120** (0.049)
Senate3 <sub>it</sub> × Safe Seat <sub>it</sub>	0.069 (0.092)	0.088 (0.091)	0.087 (0.091)	0.206 (0.187)	0.022 (0.093)	0.052 (0.090)	0.048 (0.090)
Senate12 <sub>it</sub> × Safe Seat <sub>it</sub>	0.053 (0.076)	0.047 (0.072)	0.044 (0.071)	0.208 (0.137)	-0.008 (0.098)	0.030 (0.093)	0.026 (0.093)
Male <sub>i</sub>	0.026 (0.076)	0.039 (0.079)	0.041 (0.081)	0.052 (0.123)			
Age <sub>it</sub>	-0.007** (0.003)	-0.006** (0.003)	-0.006** (0.003)	-0.005 (0.003)			
Gun Magazine Subscriptions <sub>jt</sub>		0.013 (0.034)	0.013 (0.034)			-0.045 (0.028)	-0.044 (0.028)
Violent Crime Rate <sub>jt</sub>		0.000 (0.000)	0.000 (0.000)			0.001*** (0.000)	0.001*** (0.000)
Education <sub>jt</sub>		-0.029 (0.023)	-0.029 (0.023)			-0.044** (0.019)	-0.044** (0.019)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	698	698	698	698	698	698	698
R-squared	0.519	0.527	0.545	0.729	0.287	0.315	0.344
Test Senate3 <sub>it</sub> × Safe Seat <sub>it</sub> = Senate12 <sub>it</sub> × Safe Seat <sub>it</sub> (p-value)	0.856	0.653	0.639	0.994	0.655	0.767	0.761

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the senator level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro environment on vote  $v$  in year  $t$ . The sample covers the period 1997-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Table A-8

The impact of election proximity on Republicans voting on environmental regulations,  
safe seat senators, dropping party switchers

Dep. variable:	Vote <sub>ijvt</sub>						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Senate3 <sub>it</sub> × No Safe Seat <sub>it</sub>	0.024** (0.009)	0.025*** (0.009)	0.025** (0.009)	0.025** (0.012)	0.028*** (0.008)	0.027*** (0.008)	0.028*** (0.008)
Senate3 <sub>it</sub> × Safe Seat <sub>it</sub>	0.047* (0.024)	0.046* (0.026)	0.047* (0.026)	0.024 (0.043)	0.004 (0.023)	0.005 (0.023)	0.006 (0.023)
Senate12 <sub>it</sub> × Safe Seat <sub>it</sub>	0.027 (0.017)	0.031* (0.016)	0.030* (0.016)	0.031 (0.043)	-0.005 (0.013)	-0.005 (0.014)	-0.006 (0.014)
Male <sub>i</sub>	-0.074** (0.036)	-0.066* (0.038)	-0.068* (0.039)	-0.057 (0.035)			
Age <sub>it</sub>	-0.002*** (0.001)	-0.003*** (0.001)	-0.003*** (0.001)	-0.003 (0.002)			
Carbon Emissions <sub>jt</sub>		-0.009 (0.007)	-0.009 (0.007)			-0.011 (0.012)	-0.012 (0.012)
Urban Population <sub>jt</sub>		-0.002 (0.004)	-0.002 (0.004)			-0.002 (0.004)	-0.001 (0.004)
Education <sub>jt</sub>		-0.012** (0.005)	-0.012** (0.005)			-0.003 (0.003)	-0.004 (0.003)
Year dummies	yes	yes	no	yes	yes	yes	no
State dummies	yes	yes	yes	yes	no	no	no
Vote dummies	no	no	yes	no	no	no	yes
State × Year dummies	no	no	no	yes	no	no	no
Senator dummies	no	no	no	no	yes	yes	yes
Observations	16,849	16,849	16,849	16,849	16,849	16,849	16,849
R-squared	0.259	0.260	0.397	0.357	0.040	0.040	0.225
Test Senate3 <sub>it</sub> × Safe Seat <sub>it</sub> = Senate12 <sub>it</sub> × Safe Seat <sub>it</sub> (p-value)	0.342	0.477	0.460	0.556	0.651	0.643	0.599

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the senator level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro environment on vote  $v$  in year  $t$ . The sample covers the period 1997-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.

Table A-9  
The impact of election proximity on Democrats,  
by size of the pro-gun minority, dropping party switchers

Dep. variable:	(1)	Vote <sub><i>ijvt</i></sub> (2)	(3)
Senate3 <sub><i>it</i></sub>	-0.143 (0.140)	-0.140 (0.143)	-0.138 (0.143)
Senate3 <sub><i>it</i></sub> × Gun Magazine Subscriptions <sub><i>jt</i></sub>	0.048* (0.024)	0.049* (0.025)	0.049* (0.025)
Senate3 <sub><i>it</i></sub> × Gun Magazine Subscriptions <sub><i>jt</i></sub> <sup>2</sup>	-0.002** (0.001)	-0.002** (0.001)	-0.002** (0.001)
Gun Magazine Subscriptions <sub><i>jt</i></sub>	0.128** (0.061)	0.140** (0.062)	0.142** (0.062)
Gun Magazine Subscriptions <sub><i>jt</i></sub> <sup>2</sup>	-0.003** (0.001)	-0.004** (0.002)	-0.004** (0.002)
Male <sub><i>i</i></sub>	0.038 (0.065)	0.044 (0.069)	0.046 (0.070)
Age <sub><i>it</i></sub>	-0.006** (0.003)	-0.006** (0.003)	-0.006** (0.003)
Violent Crime Rate <sub><i>jt</i></sub>		0.001* (0.000)	0.001* (0.000)
Education <sub><i>jt</i></sub>		-0.027 (0.022)	-0.027 (0.022)
Year dummies	yes	yes	no
State dummies	yes	yes	yes
Vote dummies	no	no	yes
Observations	719	719	719
R-squared	0.529	0.539	0.556
Joint test for Senate3 <sub><i>it</i></sub> and interactions (p-value)	0.034	0.022	0.022

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro gun on vote  $v$  in year  $t$ . The variable *Gun magazine subscriptions<sub>jt</sub>* is the sum of subscriptions to *American Rifleman* and *American Hunter* per 1,000 inhabitants. The sample covers the period 1994-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.



Table A-10

The impact of election proximity on Republicans voting on environmental regulations,  
by size of the green minority, dropping party switchers

Dep. variable:	(1)	Vote <sub><i>ijvt</i></sub> (2)	(3)
Senate3 <sub><i>it</i></sub>	-0.112*** (0.036)	-0.114*** (0.038)	-0.120*** (0.040)
Senate3 <sub><i>it</i></sub> × Membership in Green Organizations <sub><i>j</i></sub>	0.280*** (0.081)	0.283*** (0.086)	0.301*** (0.091)
Senate3 <sub><i>it</i></sub> × Membership in Green Organizations <sub><i>j</i></sub> <sup>2</sup>	-0.117*** (0.041)	-0.115** (0.045)	-0.126*** (0.047)
Membership in Green Organizations <sub><i>j</i></sub>	0.381** (0.170)	0.478** (0.211)	0.473** (0.212)
Membership in Green Organizations <sub><i>j</i></sub> <sup>2</sup>	-0.014 (0.083)	-0.020 (0.104)	-0.016 (0.104)
Male <sub><i>i</i></sub>	-0.170** (0.066)	-0.171*** (0.054)	-0.174*** (0.054)
Age <sub><i>it</i></sub>	-0.002** (0.001)	-0.002** (0.001)	-0.002* (0.001)
Carbon Emissions <sub><i>jt</i></sub>		-0.023*** (0.006)	-0.023*** (0.006)
Urban Population <sub><i>jt</i></sub>		0.002 (0.002)	0.002 (0.002)
Education <sub><i>jt</i></sub>		-0.019*** (0.005)	-0.019*** (0.005)
Year dummies	yes	yes	no
State dummies	yes	yes	yes
Vote dummies	no	no	yes
Observations	16,285	16,285	16,285
R-squared	0.171	0.189	0.326
Joint test for Senate3 <sub><i>it</i></sub> and interactions (p-value)	0.000	0.000	0.000

The table reports coefficients of a linear probability model. Robust standard errors in parentheses, adjusted for clustering at the state level. The dependent variable  $Vote_{ijvt}$  is coded as 1 when senator  $i$  from state  $j$  voted pro gun on vote  $v$  in year  $t$ . The variable  $Gun\ magazine\ subscriptions_{jt}$  is the sum of subscriptions to *American Rifleman* and *American Hunter* per 1,000 inhabitants. The sample covers the period 1994-2012. \*\*\*, \*\* and \* indicate statistical significance at the 1%, 5% and 10%, respectively.